



DNG and SNG Metamaterial Designs and Realizations for Practical Applications

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College of Optical Sciences THE UNIVERSITY OF ARIZONA®

XI School on Metamaterials
Distributed European Doctoral School on Metamaterials
Marrakesh, Morocco
May 6, 2008



Metamaterials (MTMs) Artificial materials that exhibit electromagnetic responses generally not found in nature



Lycurgus Cup, 300AD

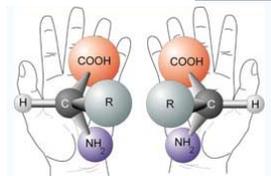


Chartres, France, 1400AD



Inclusions
In a host medium
can alter its
Electromagnetic
Properties

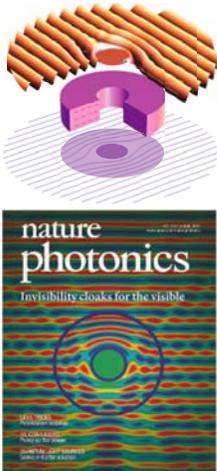
Sir Jagadish Chandra Bose, 1893
Artificial Chiral Materials



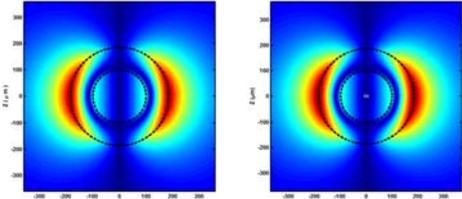
Metamaterials (MTMs)

Why all the renewed interest in artificial materials??

Cloaking



Highly Subwavelength Resonators



Scattering **Source**

Size ~ $\lambda/100$

Negative \Rightarrow New Physics and New Engineering Possibilities

The Debye, Lorentz, and Drude linear polarization models produce well-known material responses

Lorentz: Standard 1TD-LM 2TD-LM

$$\partial_t^2 P + \Gamma_e \partial_t P + \omega_0^2 P = \varepsilon_0 \omega_p^2 \chi_\alpha E + \varepsilon_0 \omega_p \chi_\beta \partial_t E + \varepsilon_0 \chi_\gamma \partial_t^2 E$$

$$\chi(\omega) = \frac{\omega_p^2 \chi_\alpha}{-\omega^2 + j\Gamma_e \omega + \omega_0^2} + \frac{j\omega_p \chi_\beta}{-\omega^2 + j\Gamma_e \omega + \omega_0^2} + \frac{-\omega^2 \chi_\gamma}{-\omega^2 + j\Gamma_e \omega + \omega_0^2}$$

Drude:

$$\partial_t^2 P + \Gamma_e \partial_t P = \varepsilon_0 \omega_p^2 \chi_\alpha E$$

$$\chi(\omega) = \frac{\omega_p^2 \chi_\alpha}{-\omega^2 + j\Gamma_e \omega} = \frac{\omega_p^2 \chi_\alpha}{-\omega(\omega - j\Gamma_e)}$$

Debye:

$$\partial_t P + \Gamma_e P = \varepsilon_0 \omega_p \chi_\alpha E$$

$$\chi(\omega) = \frac{\omega_p \chi_\alpha}{j\omega + \Gamma_e}$$

$$\chi(\omega) = \frac{\tilde{P}(\omega)}{\varepsilon_0 \tilde{E}(\omega)}$$



The Debye, Lorentz, and Drude linear polarization models produce well-known material responses

Lorentz: Standard 1TD-LM 2TD-LM

$$\partial_t^2 P + \Gamma_e \partial_t P + \omega_0^2 P = \epsilon_0 \omega_p^2 \chi_\alpha E + \epsilon_0 \omega_p \chi_\beta \partial_t E + \epsilon_0 \chi_\gamma \partial_t^2 E$$

$$\chi(\omega) = \frac{\omega_p^2 \chi_\alpha}{-\omega^2 + j\Gamma_e \omega + \omega_0^2} + \frac{j\omega_p \chi_\beta}{-\omega^2 + j\Gamma_e \omega + \omega_0^2} + \frac{-\omega^2 \chi_\gamma}{-\omega^2 + j\Gamma_e \omega + \omega_0^2}$$

Drude:

$$\partial_t^2 P + \Gamma_e \partial_t P = \epsilon_0 \omega_p^2 \chi_\alpha E$$

$$\chi(\omega) = \frac{\omega_p^2 \chi_\alpha}{-\omega^2 + j\Gamma_e \omega} = \frac{\omega_p^2 \chi_\alpha}{-\omega(\omega - j\Gamma_e)}$$

Debye:

$$\partial_t P + \Gamma_e P = \epsilon_0 \omega_p \chi_\alpha E$$

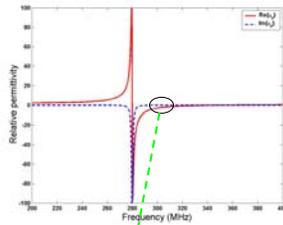
$$\chi(\omega) = \frac{\omega_p \chi_\alpha}{j\omega + \Gamma_e}$$

$$\chi(\omega) = \frac{\tilde{P}(\omega)}{\epsilon_0 \tilde{E}(\omega)}$$

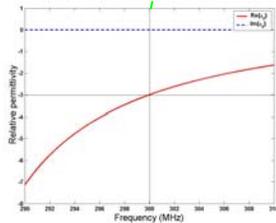
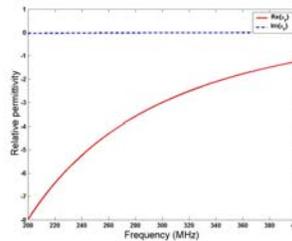


Where do the negative values appear?

Lorentz real part is negative just above the resonance



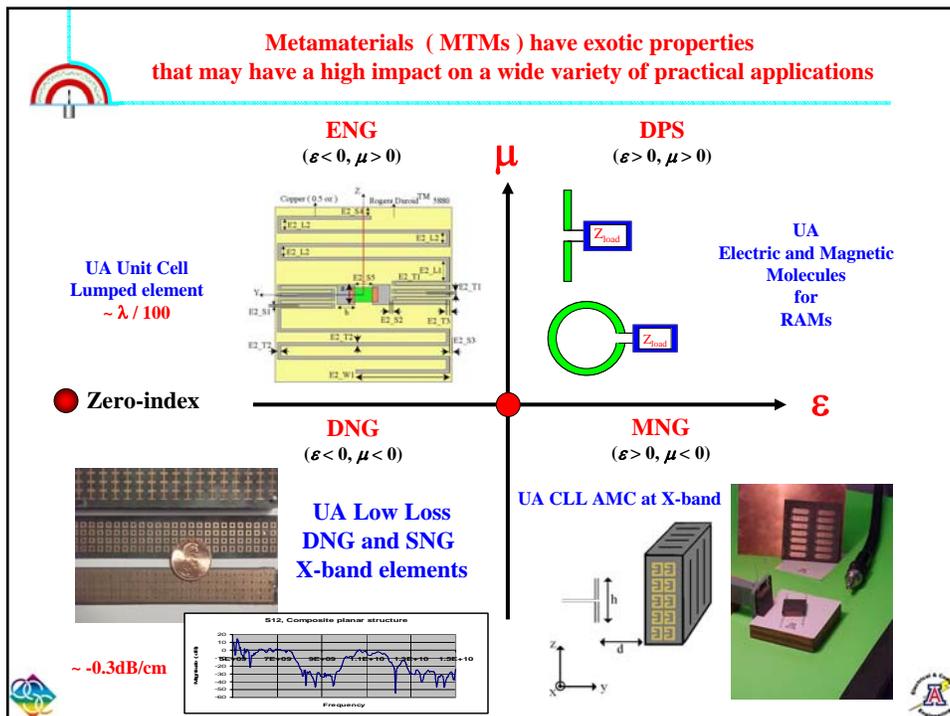
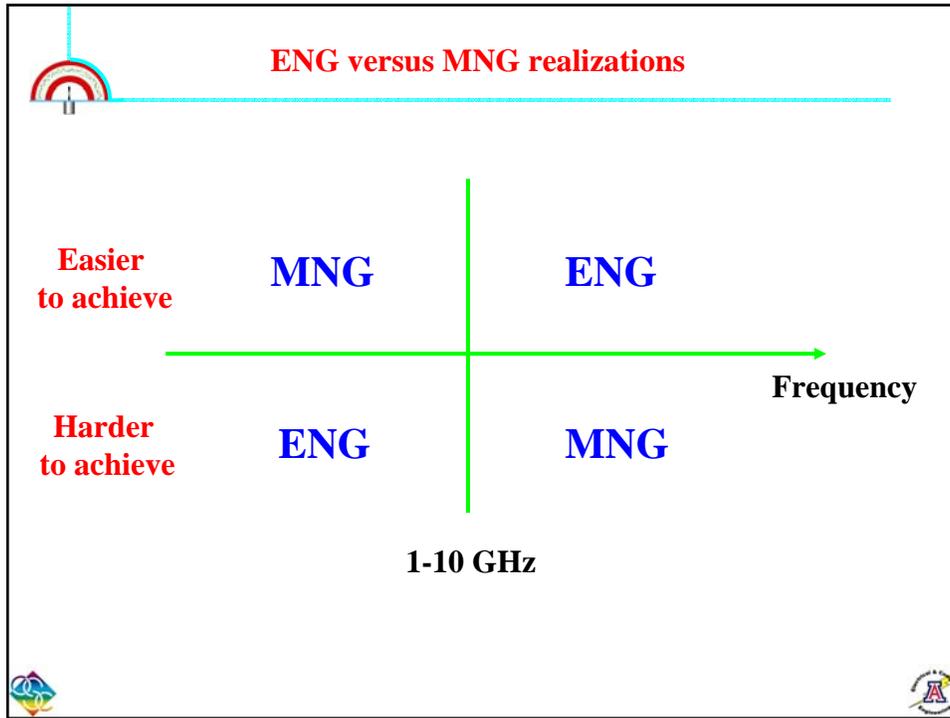
Drude real part is negative for all frequencies below the plasma frequency

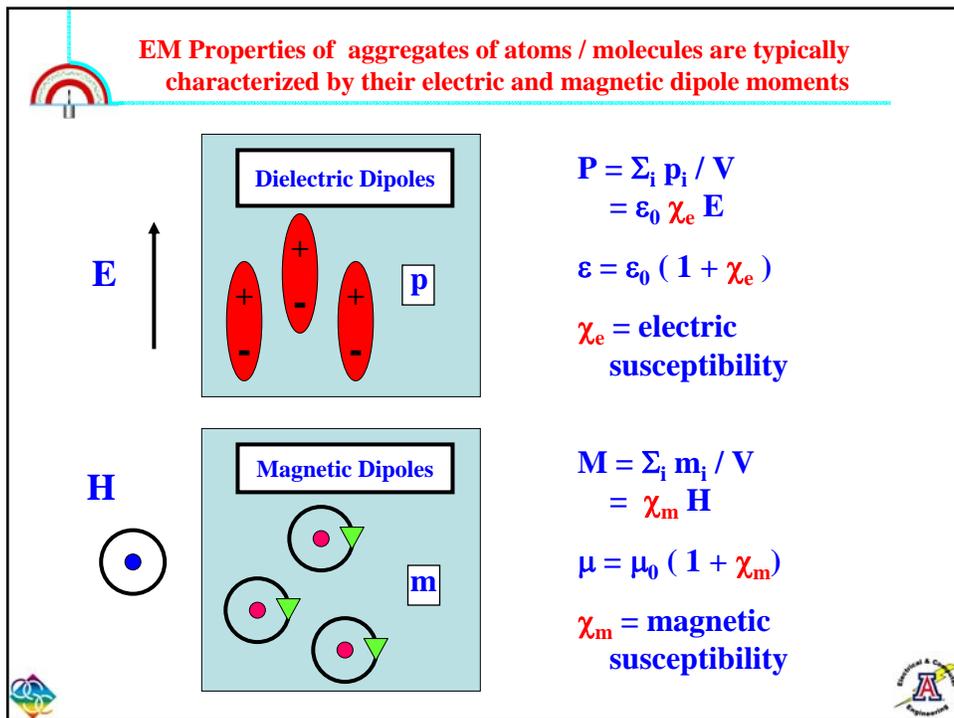
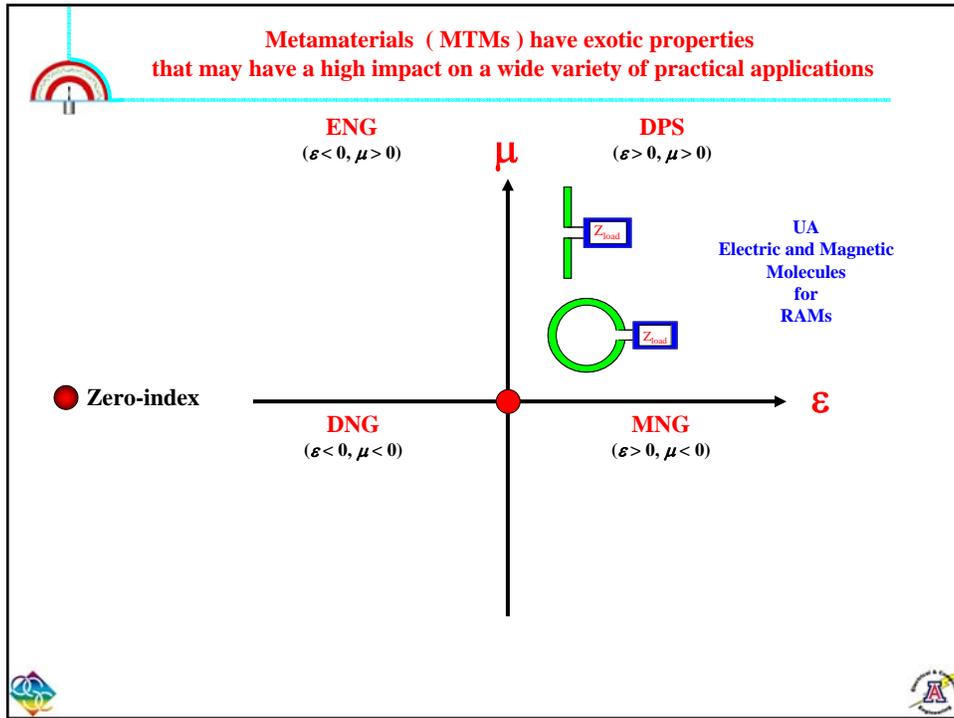


**Lorentz behavior = narrow bandwidth
Drude behavior = broad bandwidth**

REAL MTMs ARE DISPERSIVE AND LOSSY



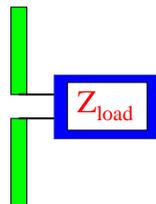






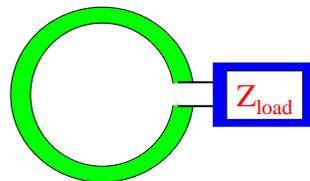
Artificial electric and magnetic molecules have been designed that produce novel material responses

**Electric molecule:
Small Loaded Dipole Antenna**



**Tailored
Polarization Behavior**

**Magnetic molecules:
Small Loaded Loop Antenna**



**Tailored
Magnetization Behavior**

F. Auzanneau (CEA-CESTA, France) & R. W. Ziolkowski, 1997-1999



1632

IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, VOL. 46, NO. 11, NOVEMBER 1998

Microwave Signal Rectification Using Artificial Composite Materials Composed of Diode-Loaded Electrically Small Dipole Antennas

Fabrice Auzanneau and Richard W. Ziolkowski, *Fellow, IEEE*

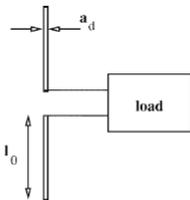


Fig. 1. Basic description of the electrically small loaded dipole antenna.

Model	dipole's load	
Debye	R	
TD Debye	R/C	C/(R-C)
Lorentz	R-L-C	
TD Lorentz	L/R	R-(R/C)
	R-(R/L)	C-(R/L)
2TD Lorentz	R/(R-C)	
	R/L/C	C/(R-L)
	C/(R-L-C)	R/C/(R-L)
TD AZ	R/C/(R-C)	C/(R/L/C)
	L-(R/C)	L-(R/L)
	L/(R-L)	R-L-(R/C)
2TD AZ	R-L-(R/L)	
	R-(L/C)	R/(L-C)
	R-(R/L/C)	R/(R-L-C)
	L/(R-C)	(L-C)/(R-C)
	(R/L)-(R/C)	(R-C)/(R-L)
3TD-AZ	(L/C)-(R/C)	(L/C)-(R/L)
	(R/C)-(R/L/C)	R/C/(L-C)
	R/C/(R-L-C)	
2TD 4 th order	L-(R/L/C)	(L-C)/(R-L)
	(L-C)/(R-L-C)	(R-L)/(R-L-C)
	(R-L-C)/(R-L-C)	R-L-(L/C)
R-L-(R/L/C)		
3TD 4 th order	(R/L)-(R/L/C)	(R-C)/(R-L-C)
4TD 4 th order	(L/C)-(R/L/C)	(R/L/C)-(R/L/C)
3TD 5 th order	L/(R-L-C)	

- ↔ 'in series' - / ↔ 'in parallel'





Several material models had been developed and studied.
They produce a variety of novel electromagnetic responses.

Lorentz material

$$\partial_t^2 P + \Gamma_e \partial_t P + \omega_0^2 P = \epsilon_0 \omega_p^2 \chi_\alpha E$$

Time derivative Lorentz material (TDLM)

$$\partial_t^2 P + \Gamma_e \partial_t P + \omega_0^2 P = \epsilon_0 \omega_p^2 \chi_\alpha E + \epsilon_0 \omega_p \chi_\beta \partial_t E$$

Two time derivative Lorentz material (2TDLM)

$$\partial_t^2 P + \Gamma_e \partial_t P + \omega_0^2 P = \epsilon_0 \omega_p^2 \chi_\alpha E + \epsilon_0 \omega_p \chi_\beta \partial_t E + \epsilon_0 \chi_\gamma \partial_t^2 E$$

These models have been implemented and tested in our
1D, 2D, and 3D FDTD simulators
The 2TDLM model has been used to achieve ABCs for lossy media



The 2TDLM model produces a causal MTM response

$$\partial_t^2 P + \Gamma_e \partial_t P + \omega_0^2 P = \epsilon_0 \omega_p^2 \chi_\alpha E + \epsilon_0 \omega_p \chi_\beta \partial_t E + \epsilon_0 \chi_\gamma \partial_t^2 E$$

$$\chi(\omega) = \frac{\omega_p^2 \chi_\alpha + j\omega \chi_\beta - \omega^2 \chi_\gamma}{-\omega^2 + j\Gamma_e \omega + \omega_0^2}$$

$$\epsilon(\omega) = \epsilon_0 [1 + \chi(\omega)]$$

This implies controllable low and high frequency limits

$$\lim_{\omega \rightarrow 0} \frac{\epsilon(\omega)}{\epsilon_0} = 1 + \frac{\omega_p^2}{\omega_0^2} \chi_\alpha$$

DC limit

$$\lim_{\omega \rightarrow \infty} \frac{\epsilon(\omega)}{\epsilon_0} = 1 + \chi_\gamma$$

High freq limit



Uniaxial 2TDLM medium was designed to act as a (Maxwellian) Perfectly Matched Layer for FDTD ABCs

R = 0

Incident Wave



Independent of
 * frequency
 * angle of incidence

ABCs
Stealth
EMI/EMC
PCS
Anechoic Chambers

Electromagnetic absorbers have many useful applications



Considered bi-anisotropic molecules



Journal of Electromagnetic Waves and Applications, Vol. 12, 353-370, 1998

THEORETICAL STUDY OF SYNTHETIC BIANISOTROPIC MATERIALS

F. Auzanneau and R. W. Ziolkowski

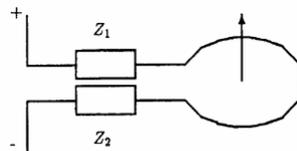


Figure 2. Left bianisotropic molecule.

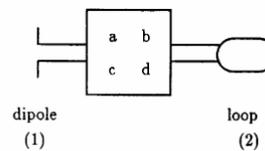


Figure 3. Transmission matrix concept.

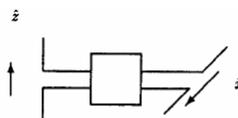


Figure 7. Polarization transforming molecule.





Considered active molecules

1330

IEEE TRANSACTIONS ON ANTENNAS AND PROPAGATION, VOL. 47, NO. 8, AUGUST

Artificial Composite Materials Consisting of Nonlinearly Loaded Electrically Small Antennas: Operational-Amplifier-Based Circuits with Applications to Smart Skins

Fabrice Auzanemus and Richard W. Ziolkowski, Fellow, IEEE

Curve Shaper Molecule

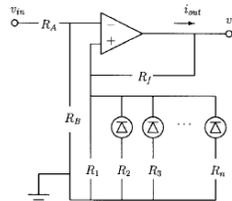


Fig. 14. Curve-shaper circuit. Approximation by n linear segments.

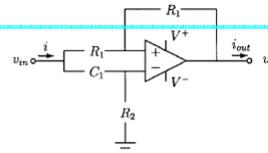


Fig. 11. Phase shifter circuit.

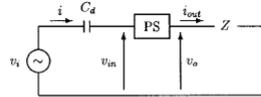


Fig. 12. Phase-shifter circuit in an electric molecule.

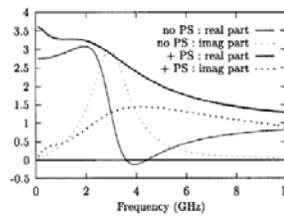
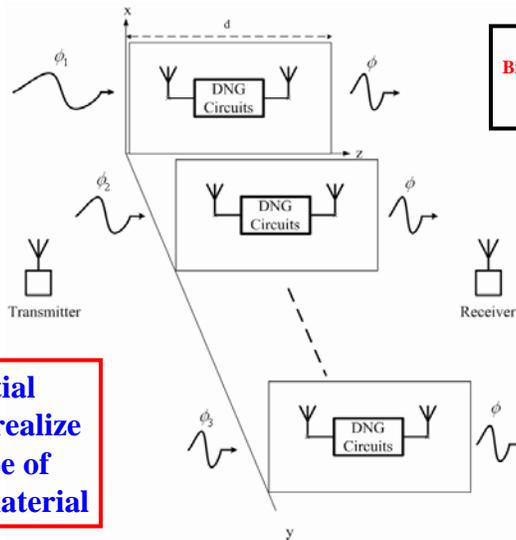


Fig. 13. Phase shifter circuit is inserted into a dielectric Lorentz mode! Comparison of the relative permittivity ϵ_r with and without the PS circuit enhancement.



Volumetric 3D metamaterial realized as a stack of 2D planar metamaterial realizations

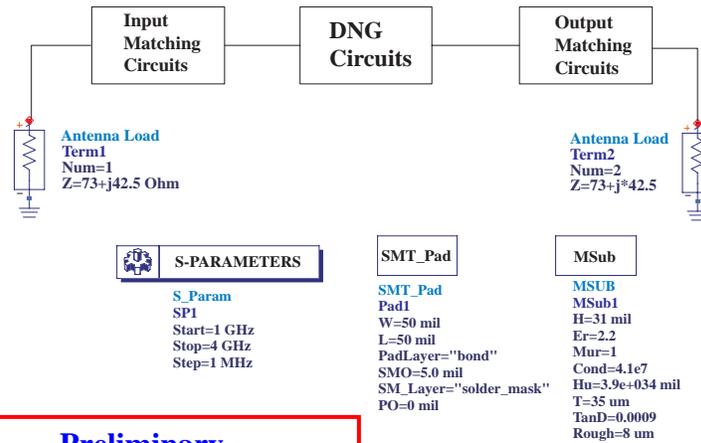


Ziolkowski
Bi-Anisotropics Meeting
Ghent, Belgium
2004

Potential
"how to" realize
any type of
3D metamaterial



HP-ADS layout of an elementary 2D-3D metamaterial molecule



Preliminary results were promising (circa 2004)



Recent considerations

MICROWAVE AND OPTICAL TECHNOLOGY LETTERS / Vol. 31, No. 3, November 5 2001

META-MATERIALS WITH WIDEBAND NEGATIVE PERMITTIVITY AND PERMEABILITY

MICROWAVE AND OPTICAL TECHNOLOGY LETTERS / Vol. 49, No. 10, October 2007

S. A. Tretyakov¹

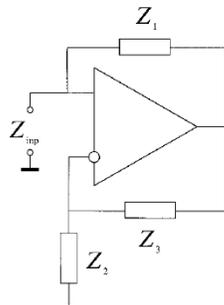
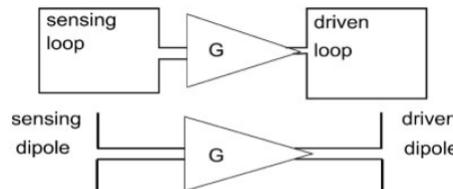


Figure 1 Operational amplifier single-stage impedance inverter

AN ARCHITECTURE FOR ACTIVE METAMATERIAL PARTICLES AND EXPERIMENTAL VALIDATION AT RF

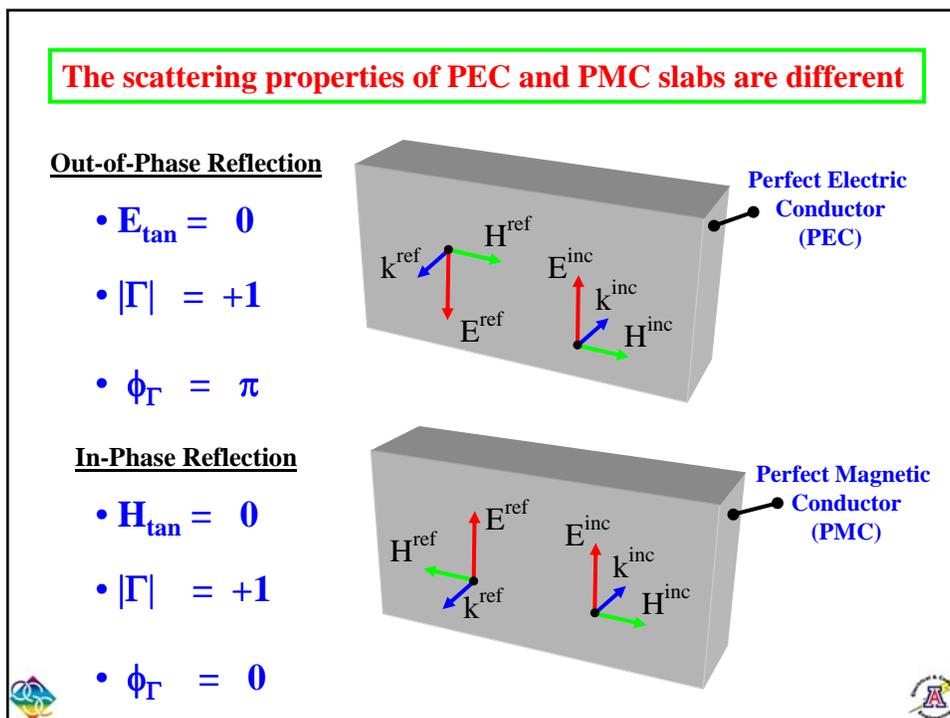
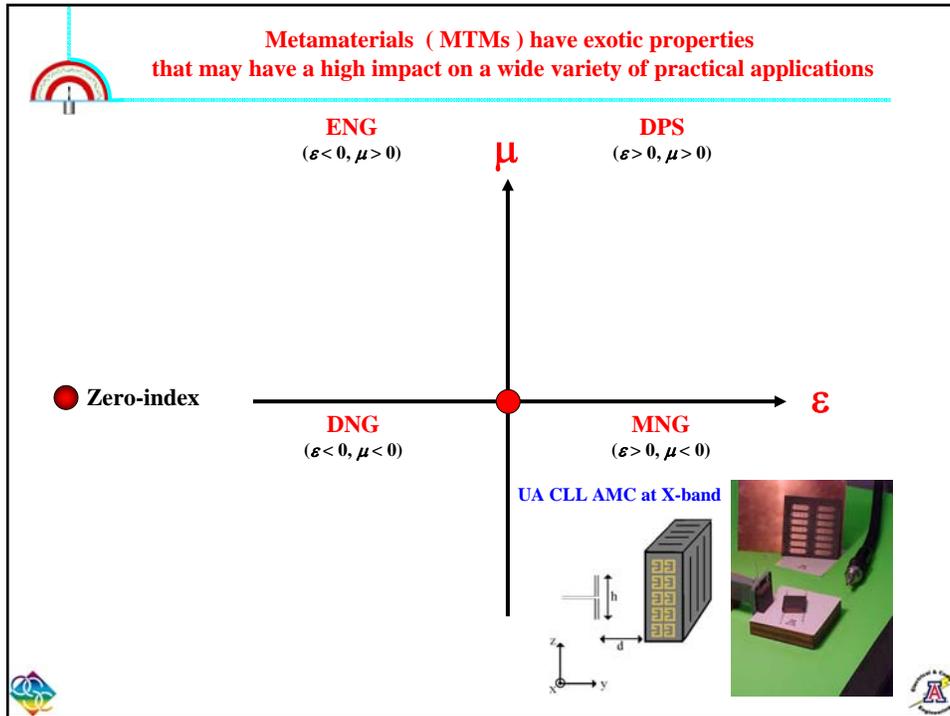
Bogdan-Ioan Popa and Steven A. Cummer



Concept of the active magnetic (top) and electric (bottom) cell

Figure 1 Concept of the active magnetic (top) and electric (bottom) cell



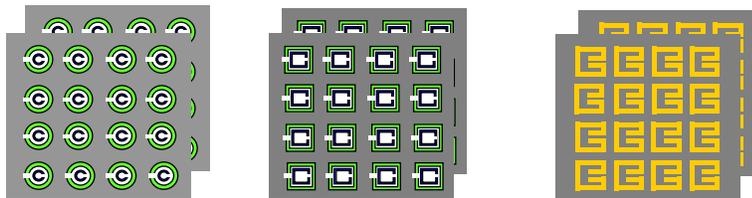


Artificial Magnetic Conductors (AMCs)

- AMCs are high-impedance surfaces often generated by
 - a) incorporating a special texture on host surfaces, or
 - b) artificially fabricated inhomogeneities embedded in host media
- Two important parameters, electrical permittivity ϵ and magnetic permeability μ , determine the response of the material
- The physical structure of 2-D or 3-D array of inclusions are much smaller than the wavelength

Split Ring Resonators (SRRs)

Capacitively Loaded Loops (CLLs)

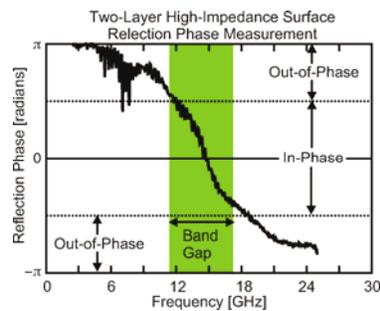
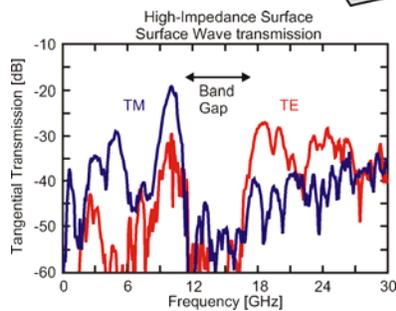
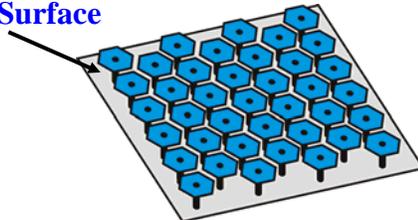


27th ESA Antenna Technology Workshop on Innovative Periodic Antennas: EBG, DNG, Fractal and FSSs
Santiago de Compostela, Spain March 2004



High-Impedance Electromagnetic Surfaces

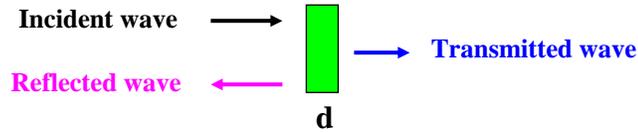
Mushroom Surface



Many Thanks to Dr. Dan Sievenpiper, HRL, for this slide



The reflection and transmission coefficients for the scattering of a normally incident plane wave from a slab are readily derived



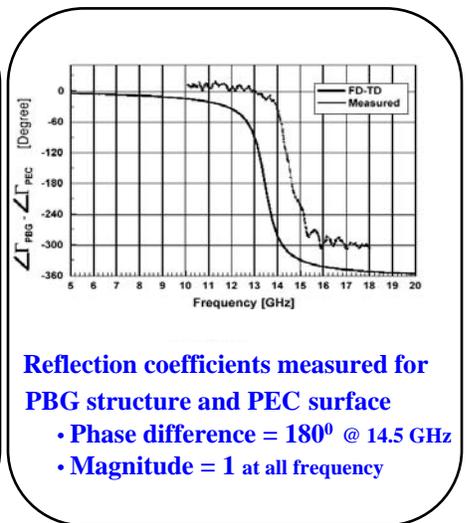
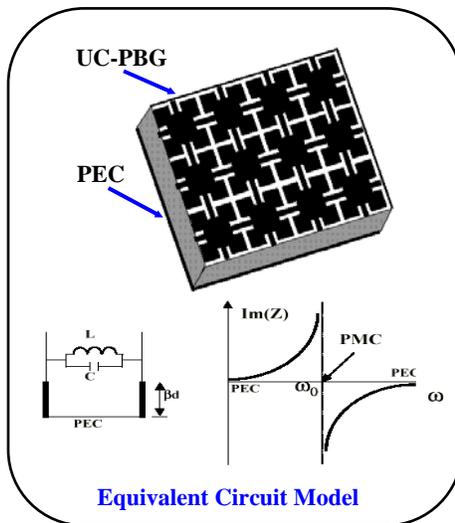
Wave impedance $\eta = \sqrt{\frac{\mu}{\epsilon}}$ $\eta \rightarrow \infty$

Reflection $S_{11} = \frac{(\eta - \eta_0)}{(\eta + \eta_0)} \frac{1 - e^{-j2kd}}{1 - (\frac{\eta - \eta_0}{\eta + \eta_0})^2 e^{-j2kd}}$ $\lim_{\eta \rightarrow \infty} S_{11} = 1 \Leftrightarrow$ Independent of d

Transmission $S_{21} = \frac{4\eta\eta_0}{(\eta + \eta_0)^2} \frac{e^{-j2kd}}{1 - (\frac{\eta - \eta_0}{\eta + \eta_0})^2 e^{-j2kd}}$ $\lim_{\eta \rightarrow \infty} S_{21} = 0$

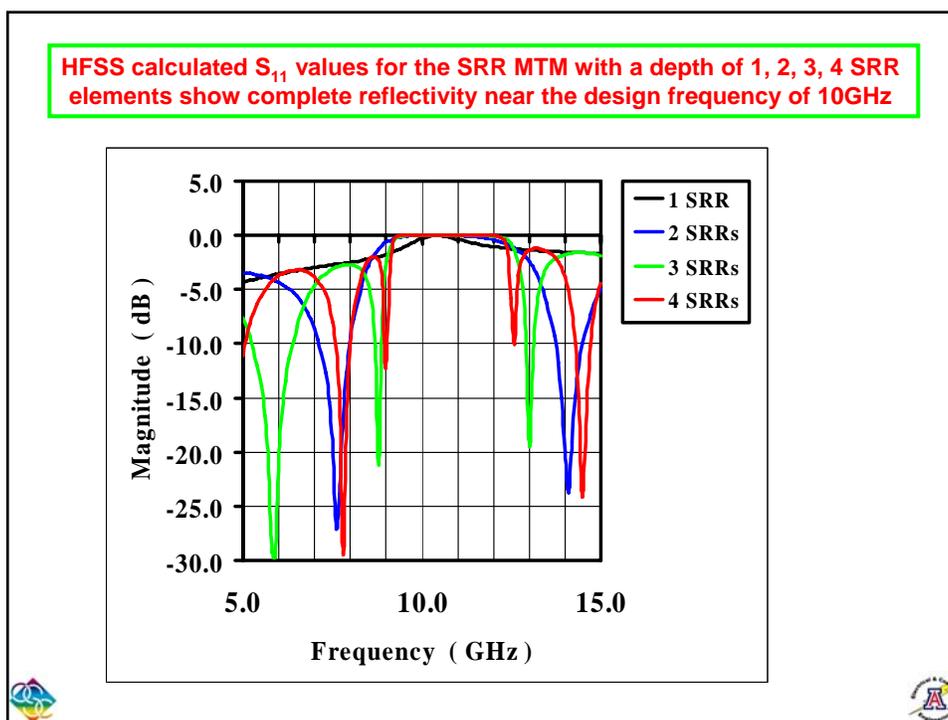
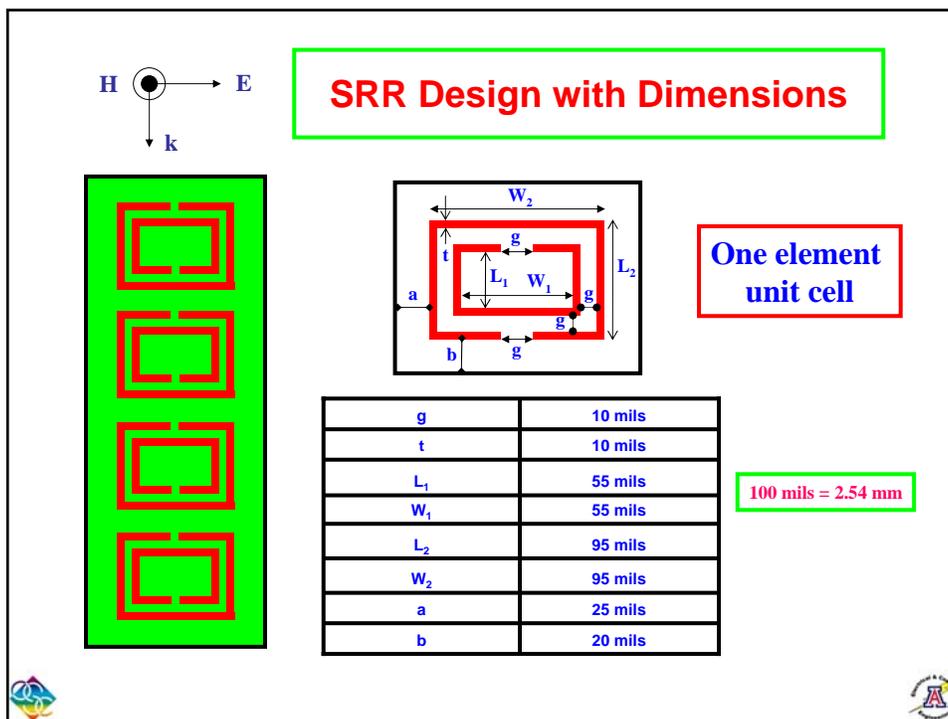


PMC Surface Realization Using UC-PBG

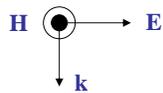
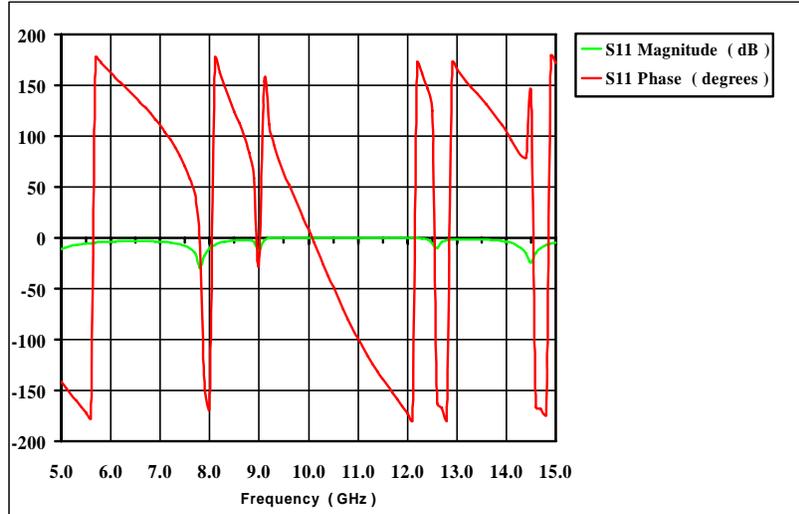


Many Thanks to Prof. Tatsuo Itoh, UCLA, for this slide

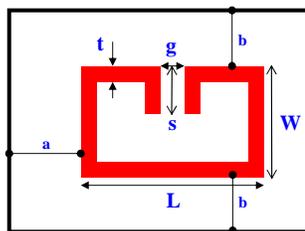
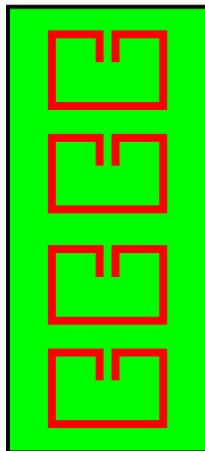




HFSS calculated S_{11} values for the SRR MTM with a depth of 4 SRR elements show that it acts as a PMC near the design frequency of 10GHz



CLL Design with Dimensions



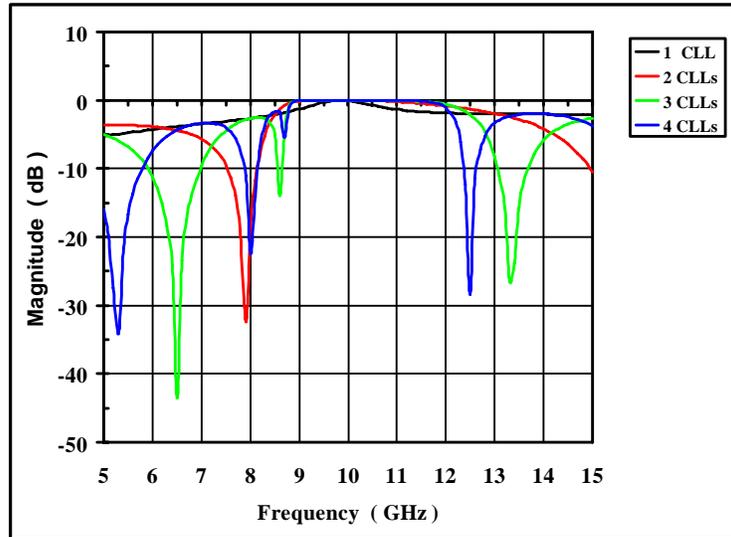
One element unit cell

t	10 mils
$G2$	10 mils
s	17.2 mils
W	80 mils
L	120 mils
a	40 mils
b	40 mils

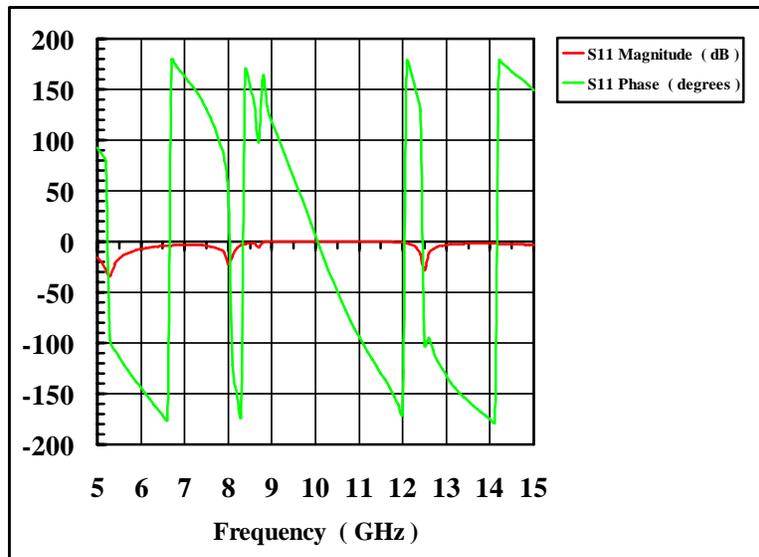
100 mils = 2.54 mm



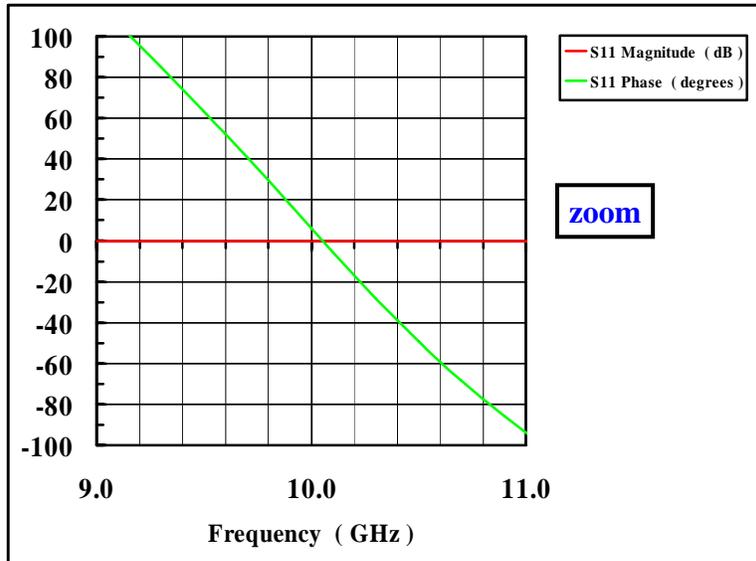
HFSS calculated S_{11} values for the SRR MTM with a depth of 1, 2, 3, 4 CLL elements show complete reflectivity near the design frequency of 10GHz



HFSS calculated S_{11} values for the CLL MTM with a depth of 4 CLL elements show that it acts as a PMC near the design frequency of 10GHz



HFSS calculated S_{11} values for the CLL MTM with a depth of 4 CLL elements show that it acts as a PMC near the design frequency of 10GHz

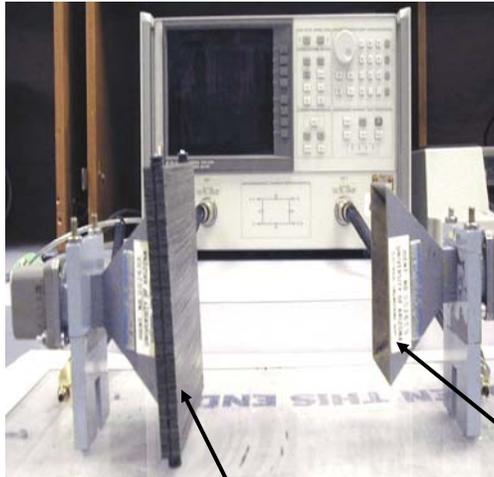


“Final” CLL Metamaterial Structure



➤ “Precisely cut, aligned” and assembled of 161 strips

The CLL MTM was measured with a free space measurement system

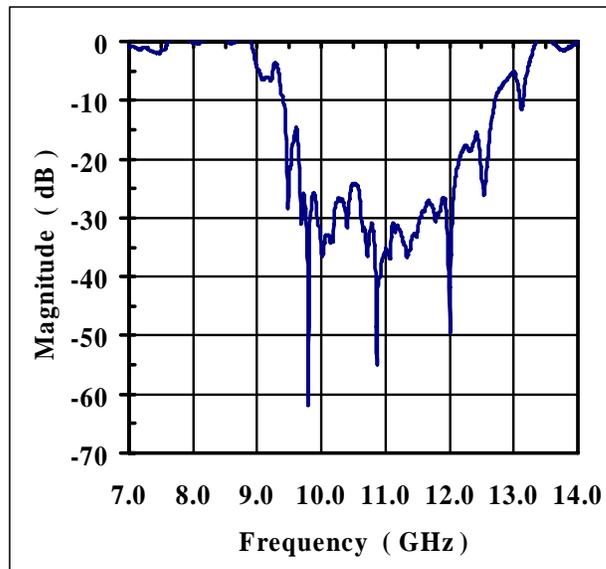


- Measure the CLL MTM with its designed orientation
- Measure the CLL MTM with a 90° rotation
- HP 8720C network analyzer to measure the S-parameters

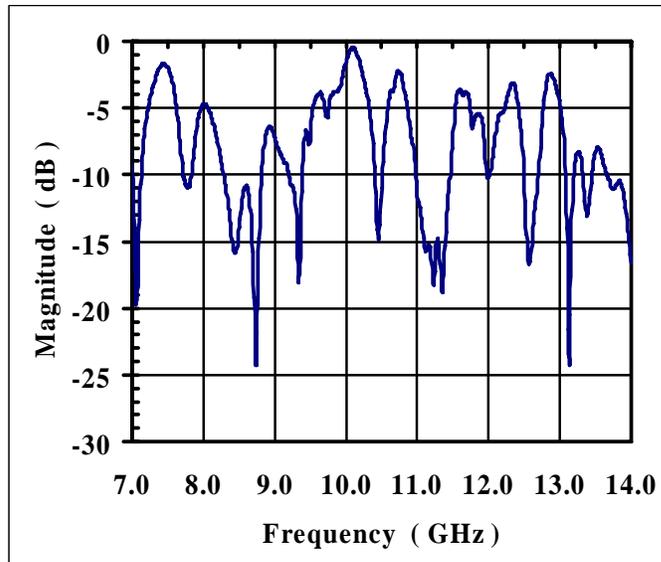
MUT

X-band rectangular horn

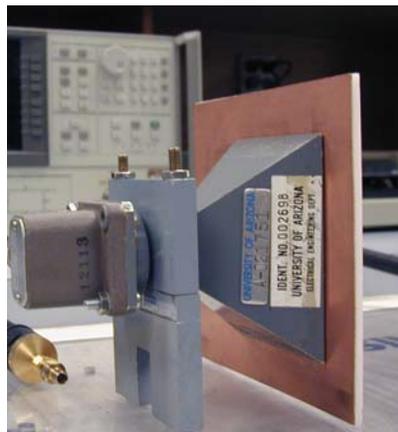
Measured magnitude of S_{21} for the CLL MTM slab



Measured magnitude of S_{11} for the CLL MTM slab



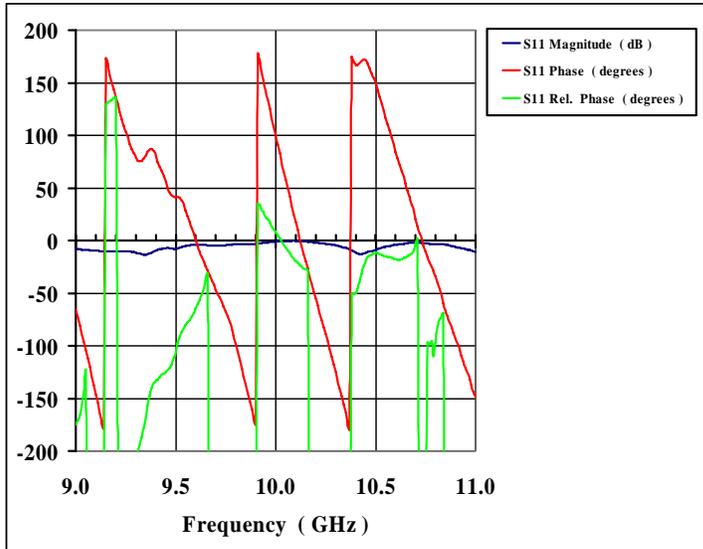
Phase Reference Plane measurement was achieved with a reference copper plate



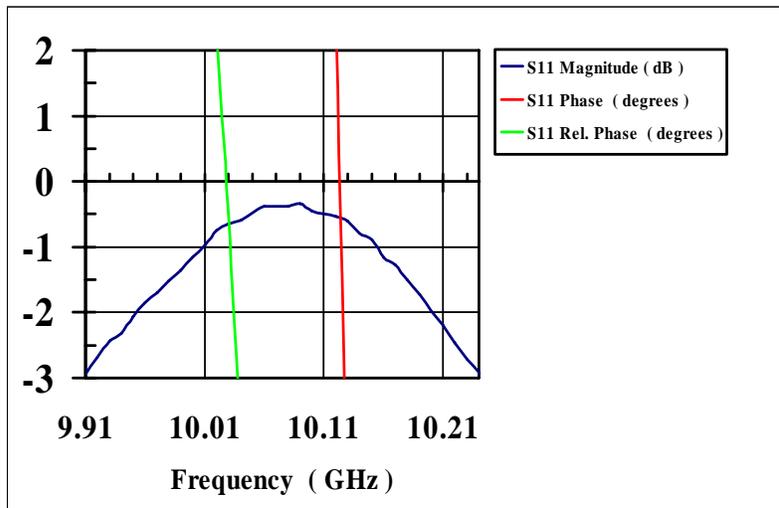
- A copper plate is placed over the mouth of the flange of the transmit antenna



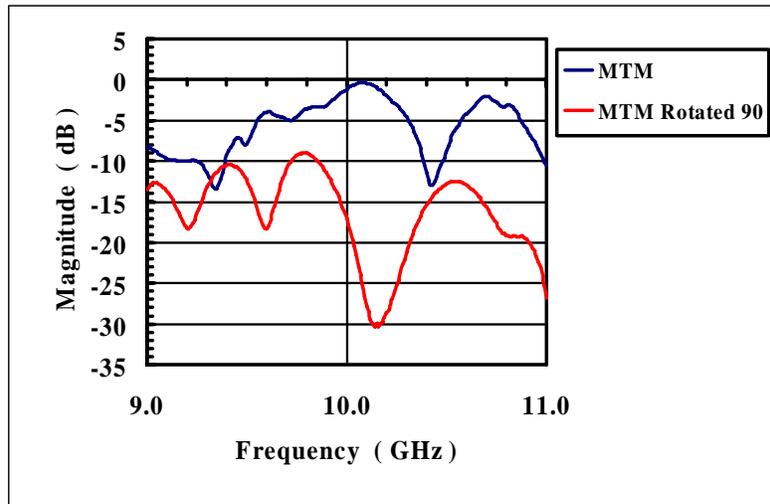
Measured phase of S_{11} for the CLL MTM slab and for the reference plate



Measured magnitude and phase of S_{11} for the CLL MTM slab verifies the predicted PMC behavior



Measured magnitude of S_{11} for the CLL MTM slab in 90° fixed rotation demonstrates the expected anisotropy



Found that imperfections had a critical impact on the overall AMC performance

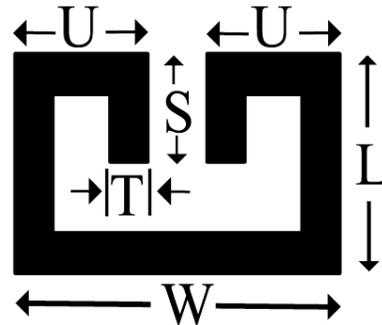
- 1. Alignment errors reduce the bandwidth and increase losses because there is more incoherent scattering**
- 2. Maintaining precision of smoothness/uniformity of all exterior surfaces of slab decreased losses**
- 3. Need enough copper in the reference to achieve an adequate reference plane**

An artificial magnetic conductor has been realized with Capacitively Loaded Loops (CLLs)

Dimensions of each CLL element

- W = 160 mils**
- L = 100 mils**
- U = 65 mils**
- S = 49 mils**
- T = 18 mils**

$100 \text{ mils} = 2.54\text{mm} < \lambda_0 / 10$



CLL elements have been used successfully in U Arizona DNG metamaterial studies

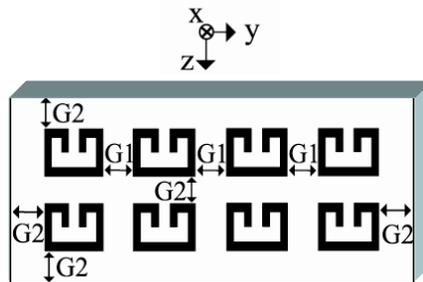


A. Erentok, P. Luljak, and R. W. Ziolkowski, *IEEE Trans. Antennas and Propagat.*, vol. 53, pp. 160-172, Jan 2005



Metamaterial-based AMC block has been designed, fabricated and measured

No Ground Plane



Acts like PMC

CLL-based AMC block

Acts like PEC

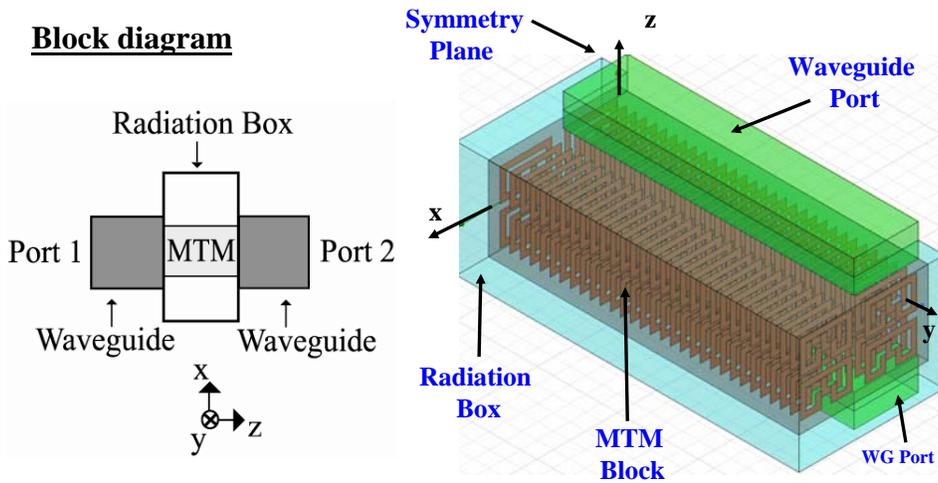
Two CLL-deep unit cell geometry
 $G1 = 40 \text{ mils} = 1.016 \text{ mm}$ $G2 = 20 \text{ mils} = 0.508 \text{ mm}$

Unit cell size
 $31 \text{ mils} \times 800 \text{ mils} \times 260 \text{ mils}$
 $(0.7874 \text{ mm} \times 20.32 \text{ mm} \times 6.604 \text{ mm})$



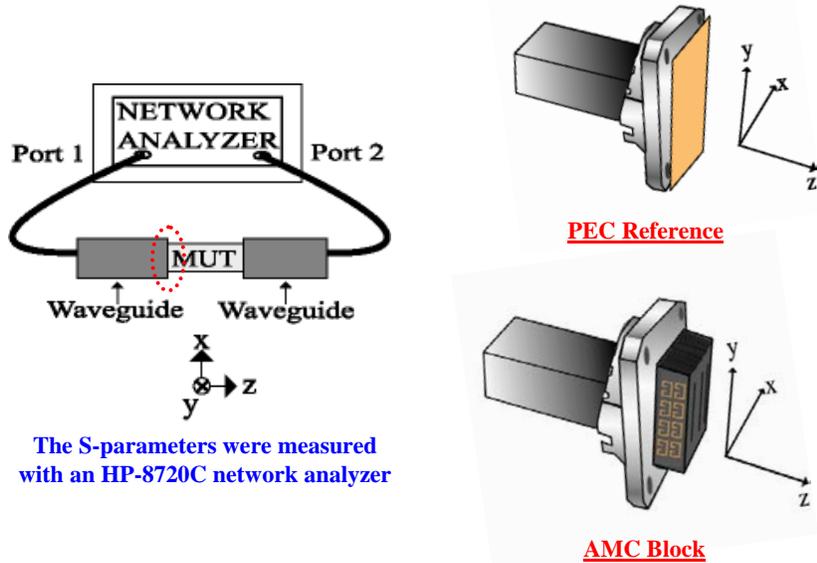
Finite versions of the HFSS designs were simulated, fabricated and measured.
The AMC block was formed with a stack of 31 unit cells.

Block diagram



• The HFSS simulation space closely modeled the experimental setup: the MTM block was sandwiched between two X-band waveguides

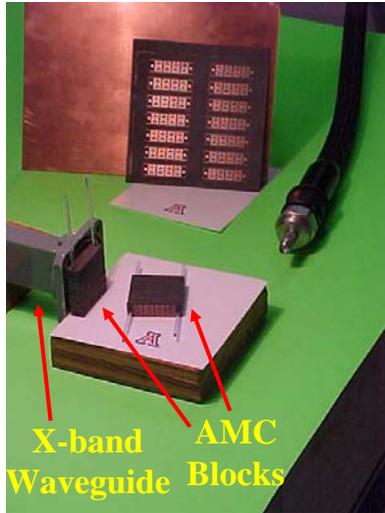
The phase of the AMC block was measured in reference to a PEC plate



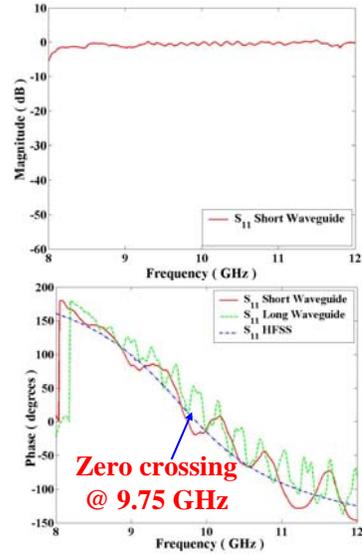
The S-parameters were measured with an HP-8720C network analyzer



Volumetric AMC block without ground plane designed and tested



X-band Waveguide Blocks AMC



Measured Zero Phase crossing is 1.02% different from the predicted value

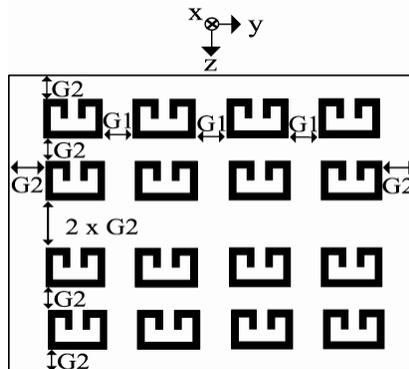


Erentok, P. Luljak, and R. W. Ziolkowski, IEEE AP Special Issue on AMCs, *IEEE Trans. Antennas and Propagat.*, vol. 53, pp. 160-172, January 2005.



A 4x4 CLL unit cell was also used in HFSS simulations

Acts like PMC



Acts like PEC

Four CLL-deep unit cell geometry

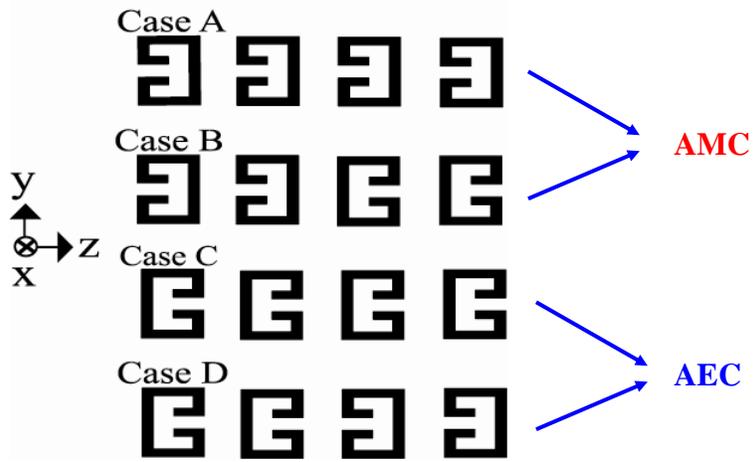
G1= 40 mils G2=20 mils

Unit cell size

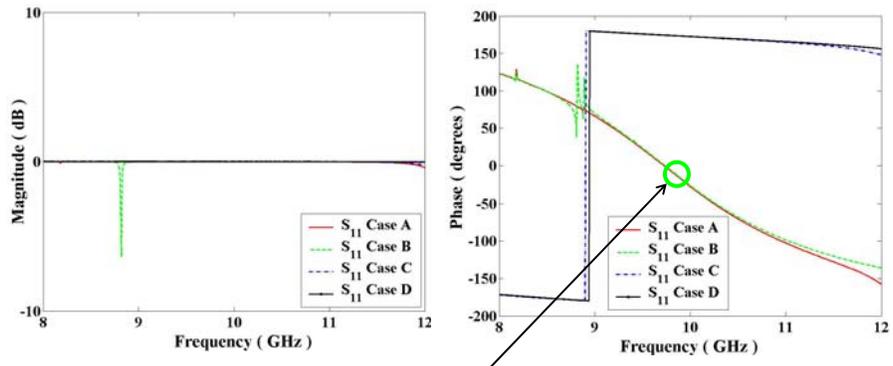
31mils x 800mils x 520 mils



Several 4x4 CLL-deep unit cell structures were investigated using HFSS simulations

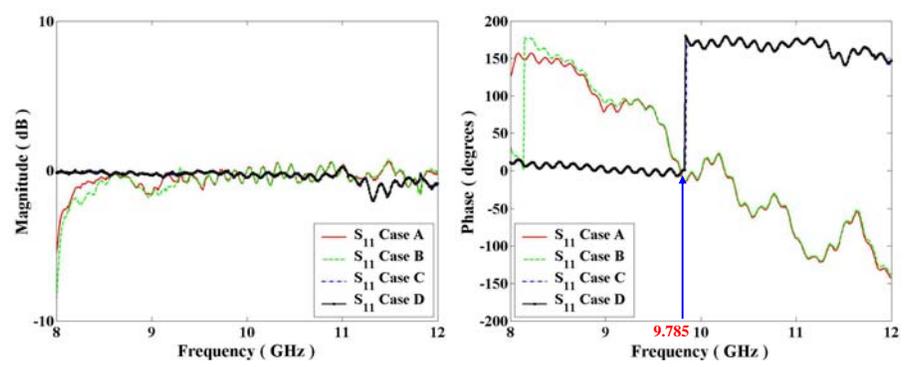


The magnitudes and phases of the HFSS predicted S_{11} values for the four CLL-deep unit cell



The zero phase crossing of the HFSS predicted S_{11} value for the cases A and B occurs at 9.73 GHz

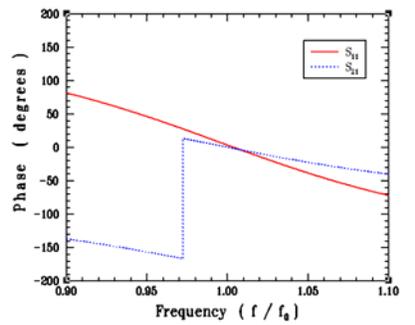
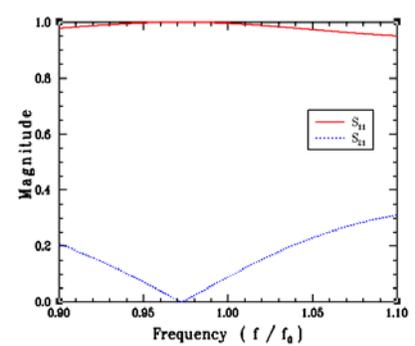
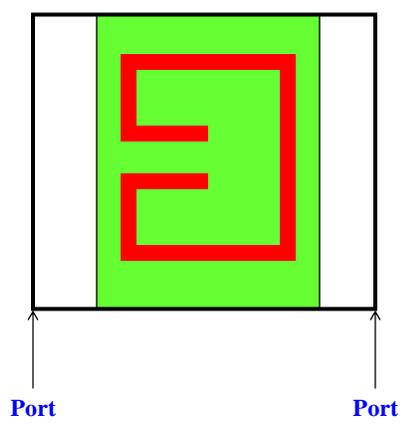
The magnitudes and phases of the experimentally measured S_{11} values for the finite four CLL-deep MTM blocks show the predicted high reflectivity over the X-band frequencies



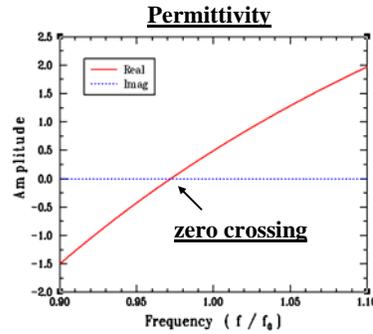
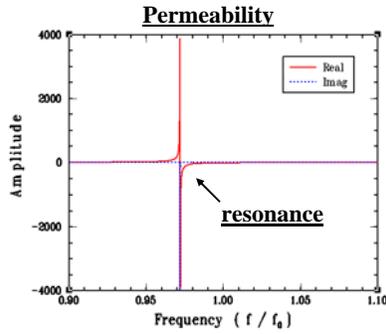
The zero phase crossing of the measured S_{11} values for the cases A and B occurs at 9.785 GHz, 0.5653% different from the predicted value



Single CLL Unit Cell was simulated with HFSS to extract the permittivity and permeability



The matching permeability indicates a 2TDLM behavior;
the matching permittivity indicates a Drude behavior



$$\mu(\omega) = \mu_0 \left(1 + \frac{\chi_{\alpha m} \omega_{pm}^2 + j \chi_{\beta m} \omega_{pm} \omega - \chi_{\gamma m} \omega^2}{-\omega^2 + j \Gamma_m \omega + \omega_{0m}^2} \right)$$

$$f_{0m} = 0.97 \text{ GHz}$$

$$\omega_{pm} = \omega_{0m}, \chi_{\alpha m} = 0.3, \chi_{\beta m} = 10^{-5},$$

$$\chi_{\gamma m} = -0.5, \Gamma_m = 10^{-5} \omega_{0m}$$

$$\varepsilon(\omega) = 9\varepsilon_0 \left(1 + \frac{\omega_{pe}^2}{-\omega^2 + j \Gamma_e \omega} \right)$$

$$f_{0e} = 0.97 \text{ GHz}$$

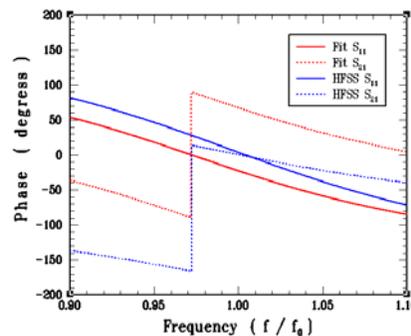
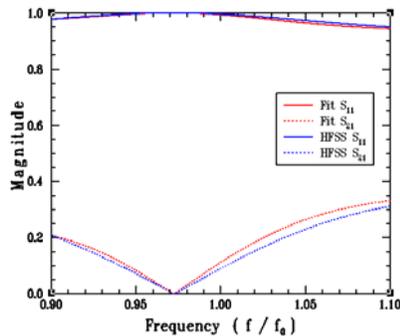
$$\omega_{pe} = \omega_{0e}, \Gamma_e = 10^{-5} \omega_{pe}$$

Fit by brute force



There is reasonable agreement between the matched models
and the corresponding S-parameters

The magnitude is easier to fit than the phase



Thus, at the target frequency
the AMC block has:

$$\mu \rightarrow \infty, \varepsilon \rightarrow 0 \text{ so that } \eta \rightarrow \infty$$



2TDLM and Drude models were used as a model-based parameter estimation to match the HFSS-predicted magnitude and phase values of the S_{11} and S_{21} parameters for a unit cell CLL element

2TDLM (Permeability)

$\chi\alpha$: (variable) [0,1,2]
 $\chi\gamma$: (variable) (-1,1)
 $\chi\beta$: (fixed) $1e-4$
 L_m : (variable) [0,10] Background weighting
 Γ_m : (fixed) $1e-5 * \omega_{pm}$
 ω_{om} : (variable) [9,11] GHz * 2π
 ω_{pm} : (fixed) $10 * 2\pi$ GHz

Drude Model (Permittivity)

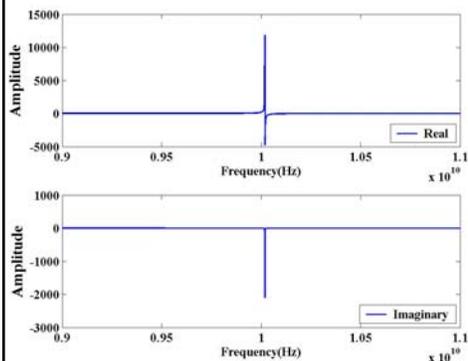
ω_{pe} : (variable) [9,11] GHz * 2π
 Γ_e : (fixed) $1e-5 * \omega_{pe}$ Background weighting
 L_e : (variable) [0,10]

- ✓ The total number of variables are 6
- ✓ The initial parameter values are randomly selected between [0,1], and these values normalized to the defined boundaries.

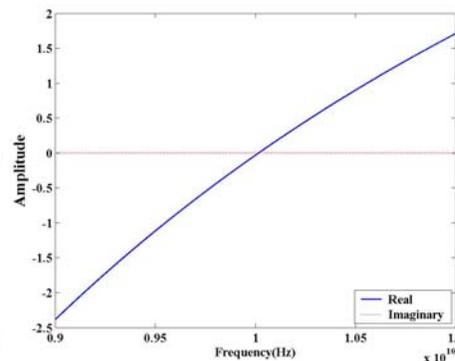


The 2TDLM and Drude model parameters were optimized by fitting the S_{11} and S_{21} values to the corresponding HFSS simulation results

- The optimization results were obtained using 2001 discrete frequency points between 9 and 11 GHz



Permeability Values

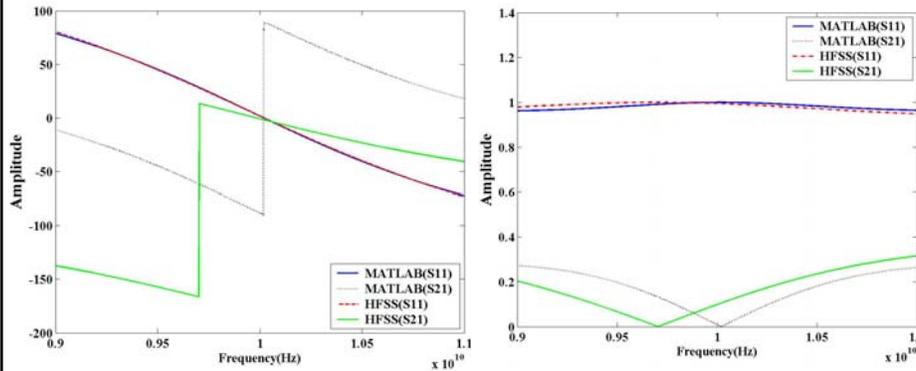


Permittivity Values



MATLAB Optimization Toolbox default values were modified to obtain the most accurate results

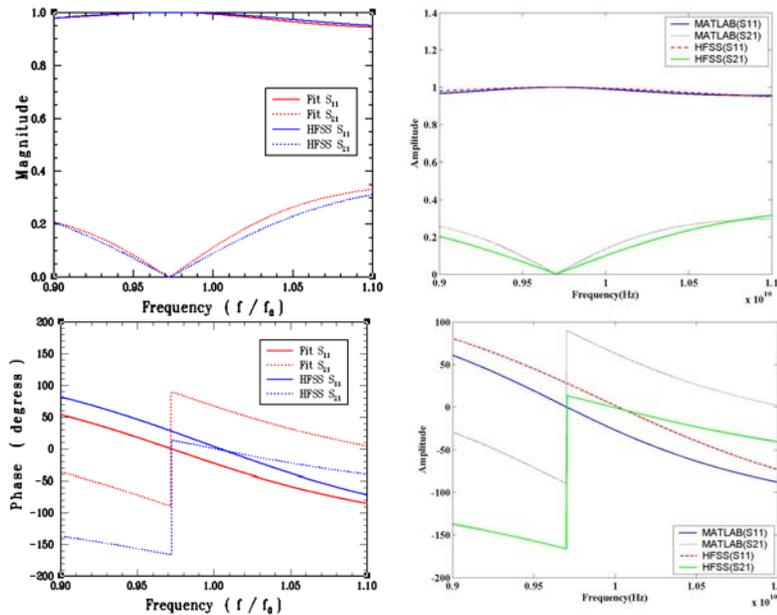
- MATLAB optimization toolbox default settings were modified, e.g., maximum error tolerance = $1e-12$, maximum function error tolerance = $1e-12$
- The number of the function iterations is increased from $1e4$ to $1.5e6$



- ✓ The obtained fitness value is 0.58186 (*Best Result*)
- ✓ The best fit was obtained using S_{11} values only



Brute Force vs. MATLAB Optimization Toolbox Results shifted to 9.70 GHz resonance and zero crossing



Modeled-based Parameter Estimation CONCLUSIONS

- ✓ It is difficult to obtain a perfect match for *both* S_{11} and S_{21} , magnitude and phase values using the 2TDLM and Drude Models. The best convergence value is obtained using only S_{11} values to fit the 2TDLM and Drude models.

The S_{11} phase values required f_{pm} and f_{om} frequencies to be 10 GHz for best match.
 The S_{21} magnitude & phase values required f_{pm} and f_{om} to be 9.702 GHz for best match.

- ✓ The optimization scenarios using 2TDLM model for both permittivity and permeability values did not converge (to the correct values)
- ✓ The S_{11} phase values strictly depend on Γ_{β} . The optimization results suggest that the upper value of the Γ_{β} should be restricted to 0.0001.
- ✓ The proportionality constant of the Drude model also had a big impact on the matched S_{11} phase and magnitude values. The optimization results showed that the proportional constant should be restricted to the small numbers, e.g., [0,15] to prevent $|S_{11}|$ values from becoming flat and equal to 1.



Artificial Magnetic Conductors (AMCs)

provide important advantages for antenna applications

AMC

AMC doubles a nearby electric dipole rather than shorting it out

Electric Dipole Antenna

Image

Potential antenna applications include

- Low-profile antenna design
- Improved radiation pattern (both in near- and far-field)
- Reduced mutual coupling in multiple antenna systems

E-Plane Pattern

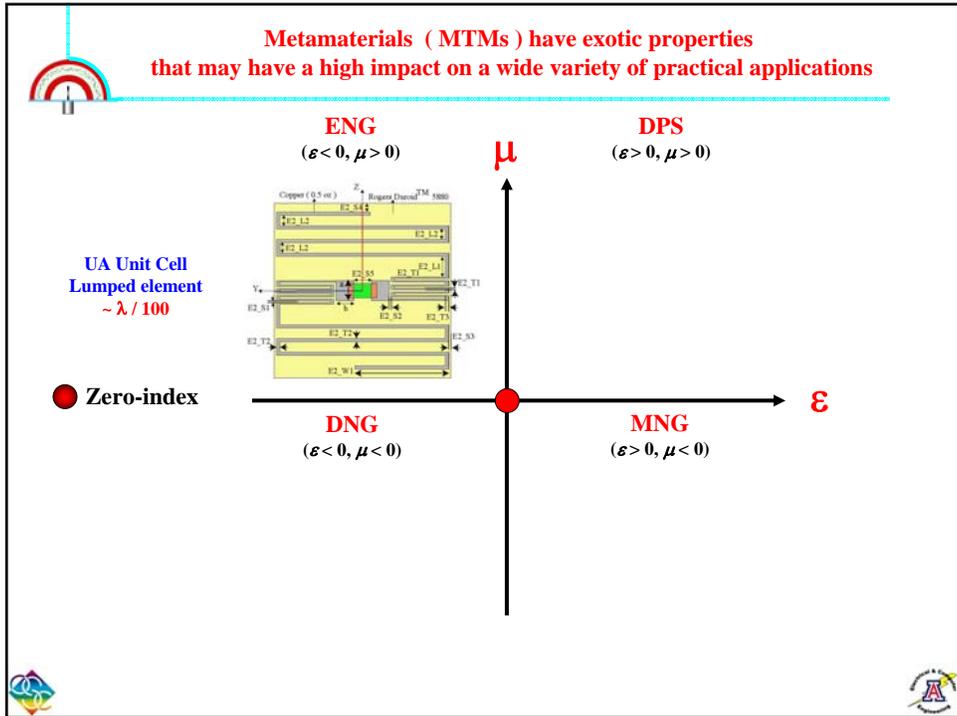
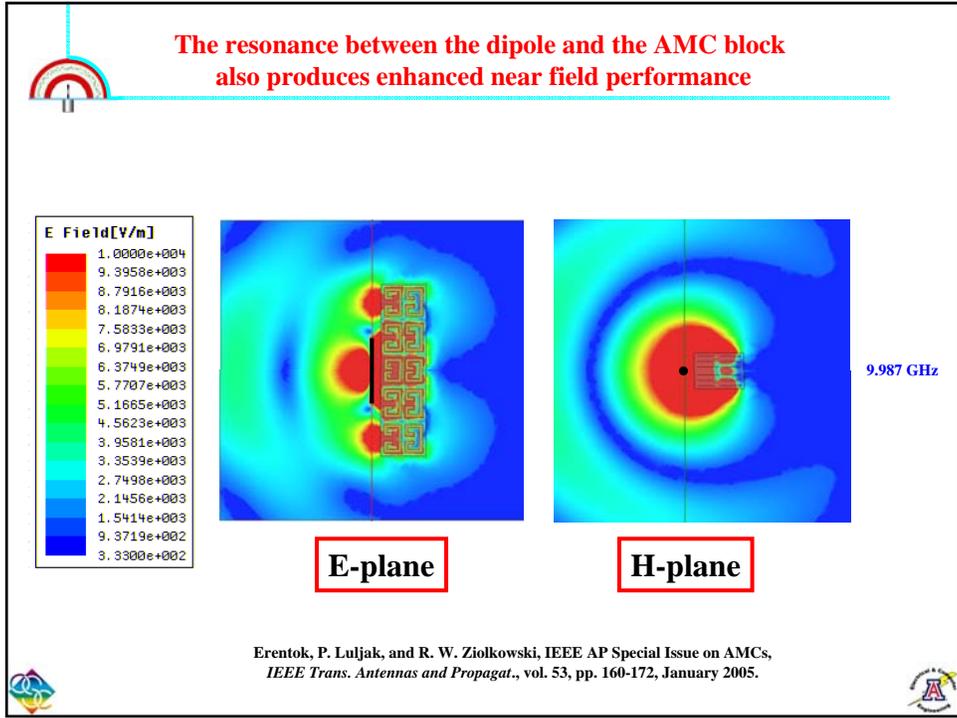
H-Plane Pattern

- $h = \lambda_0 / 25$
- $f = 9.987 \text{ GHz}$

— Free-space dipole
 — Dipole antenna / CLL-block

164.25 front-to-back ratio !

A. Erentok, P. Luljak, and R. W. Ziolkowski, *IEEE Trans. Antennas and Propagat.*, vol. 53, pp. 160-172, Jan 2005



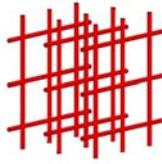


“Re-discovery of bed-of-nails medium for ENG effects

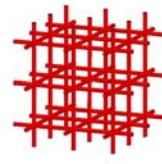
Walter Rotman, 1961; Pendry et al 1996



Two-dimensional anisotropic lattice



Three-dimensional anisotropic lattice



Three-dimensional isotropic lattice

$$\epsilon_p = \epsilon_0 \left(1 - \frac{\omega_p^2}{\Gamma^2 + \omega^2} + j \frac{\omega_p^2 \Gamma / \omega}{\Gamma^2 + \omega^2} \right)$$

ω_p is the plasma frequency
 Γ is the collision frequency
 ϵ_0 permittivity of free space

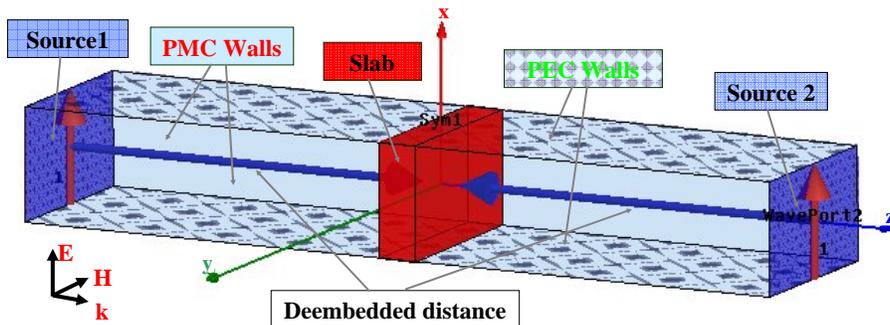
NOTE: “infinite” wires required



Finite Element Simulator



HFSS simulations

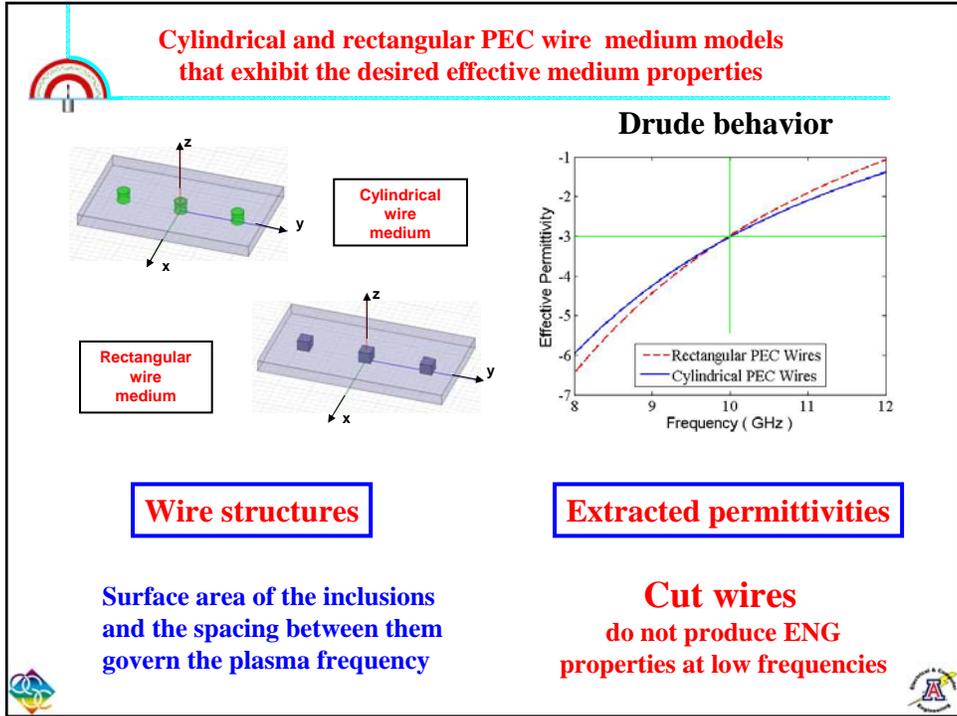


Extract material parameters from reflection and transmission results



Pascal Imhof, MS Thesis, 2006, EPFL, LEMA





ENG MTM TESTING

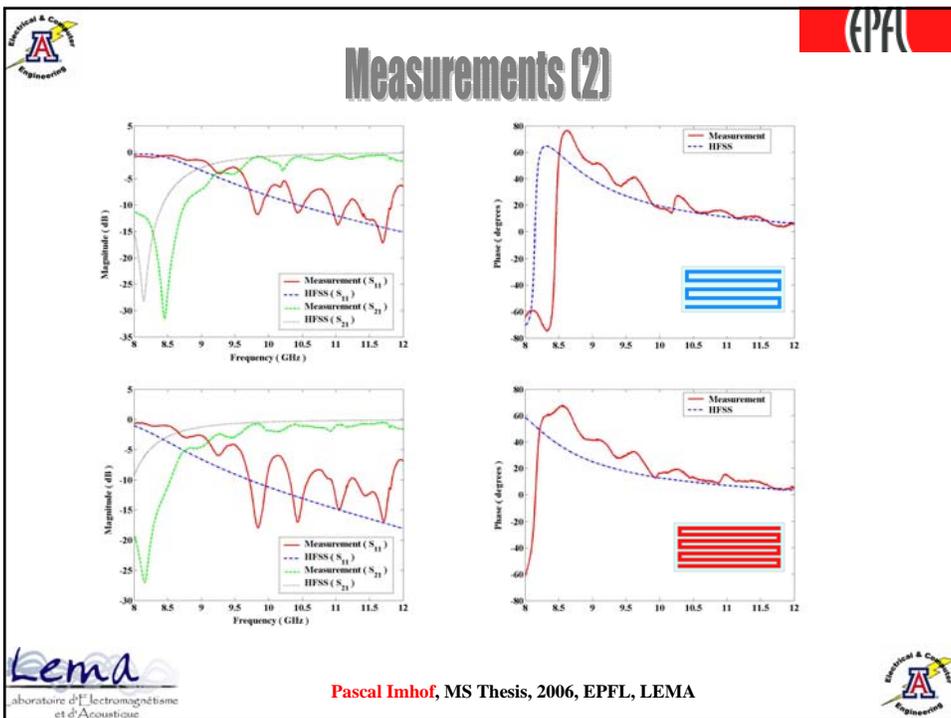
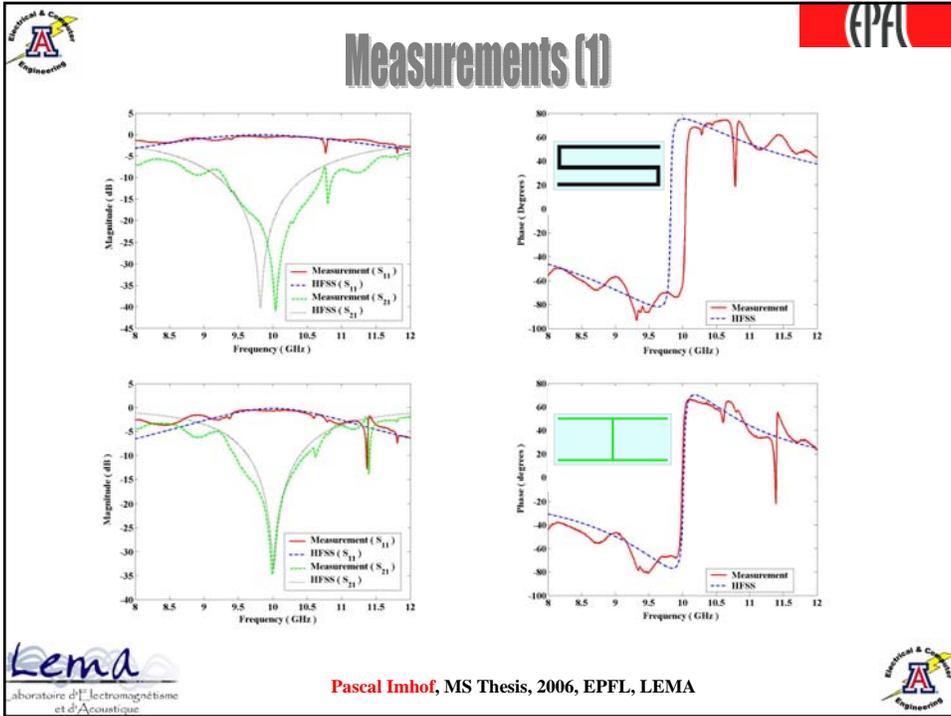
ENG MTM TESTING

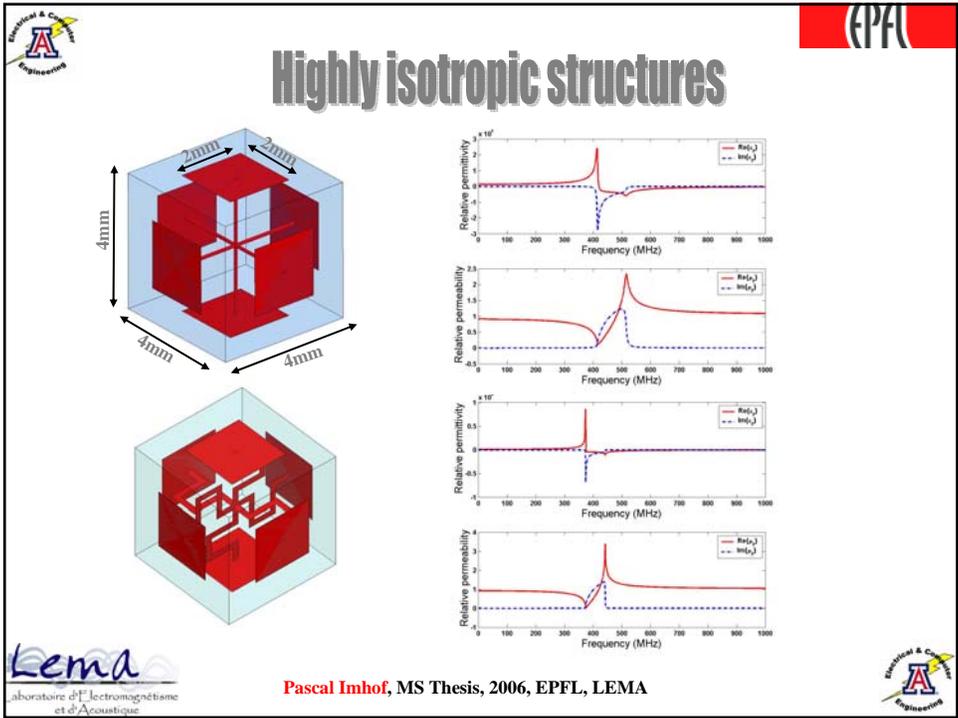
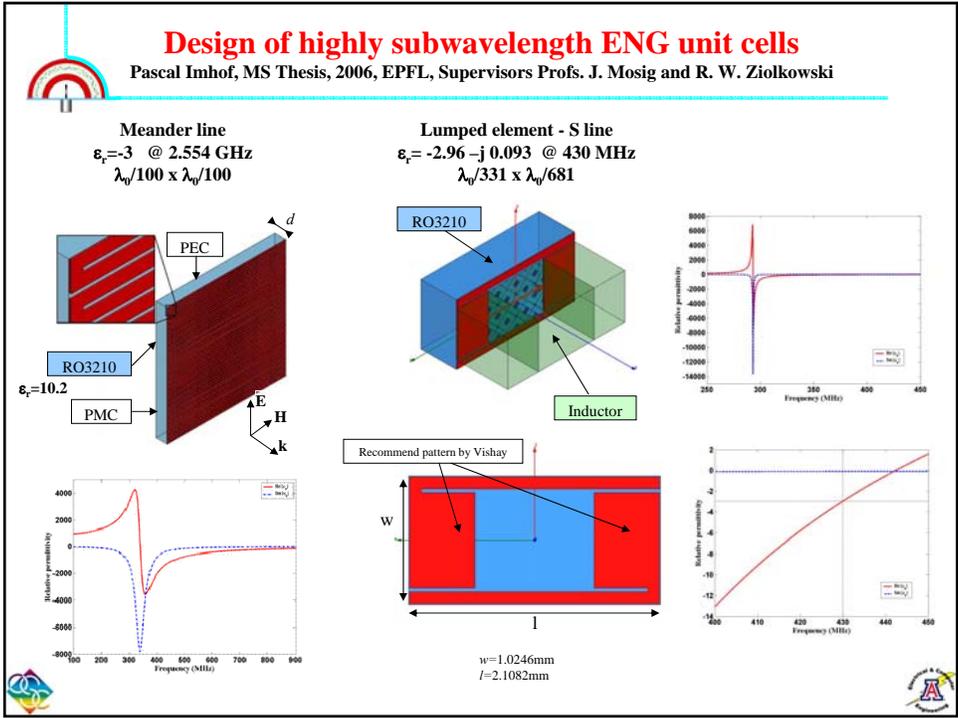
EPFL

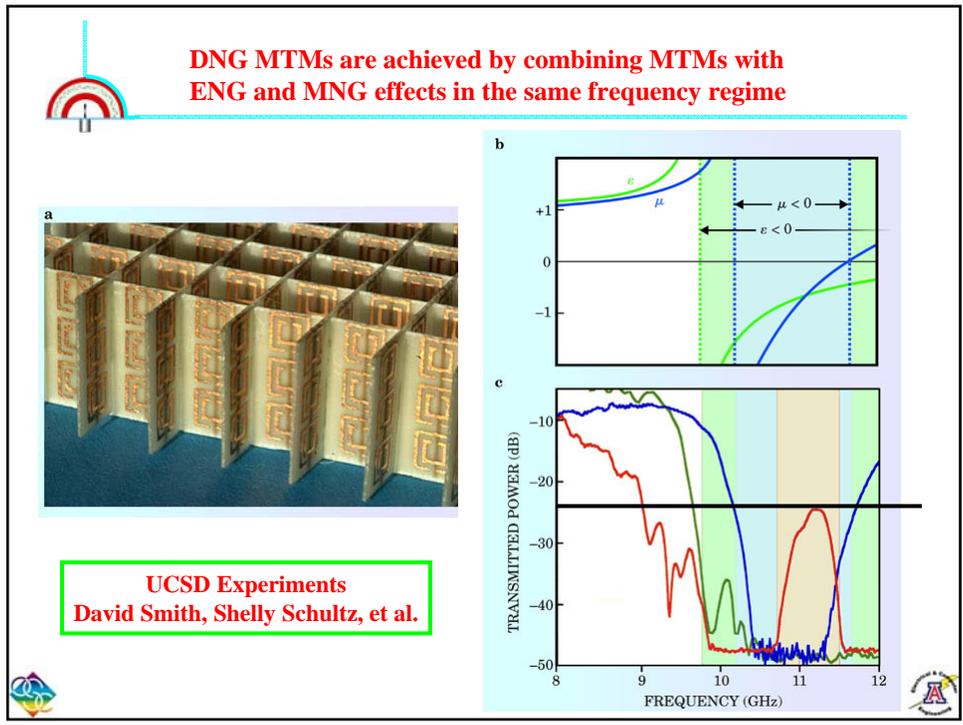
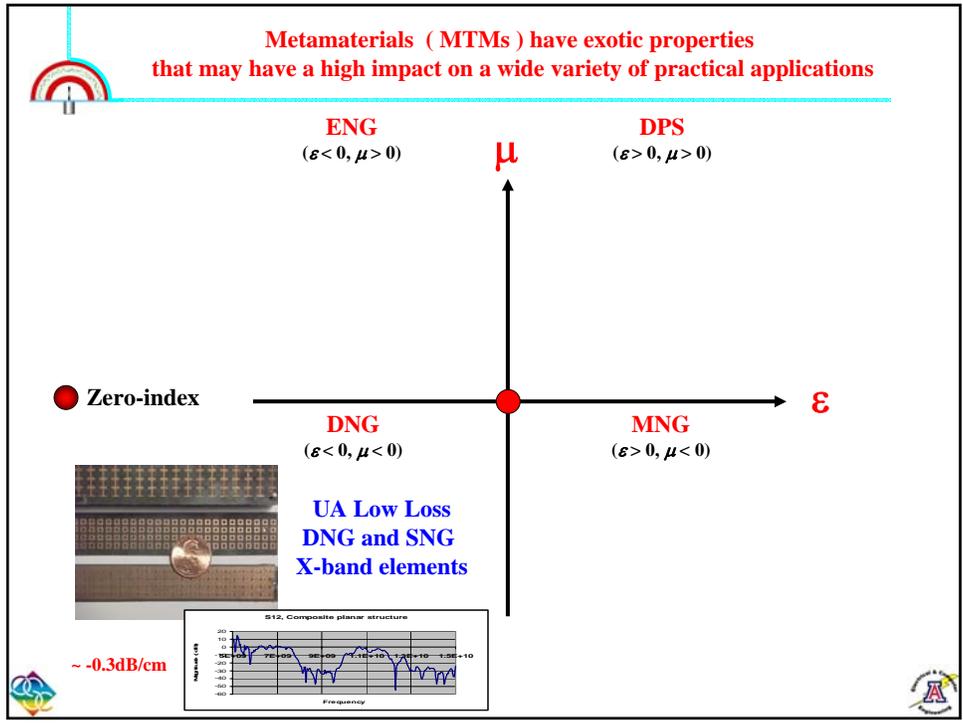
LEMA

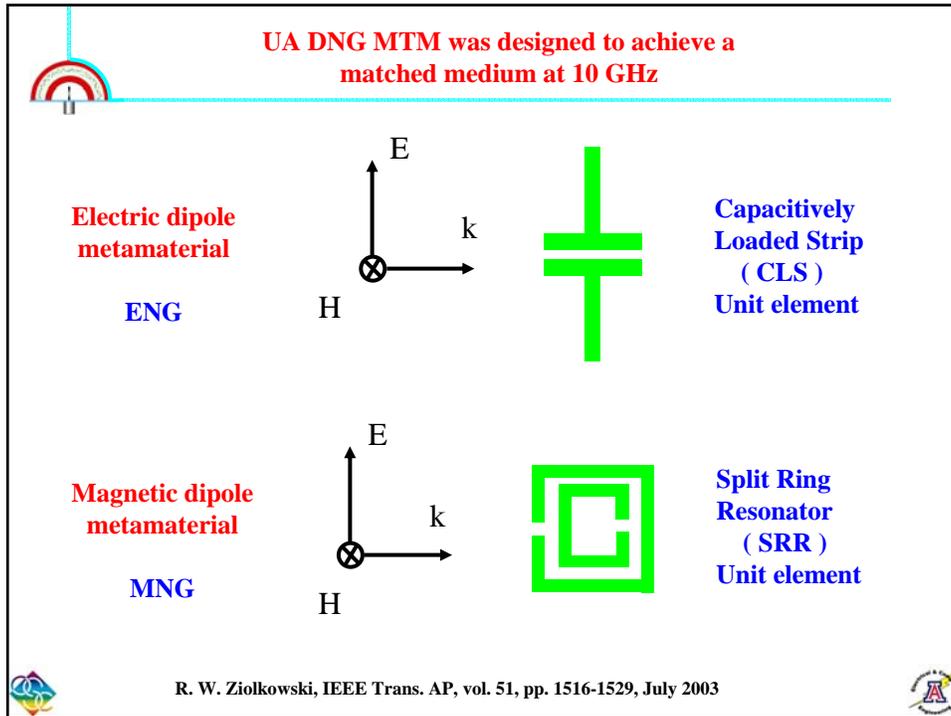
laboratoire d'Electromagnétisme et d'Acoustique

Pascal Imhof, MS Thesis, 2006, EPFL, LEMA









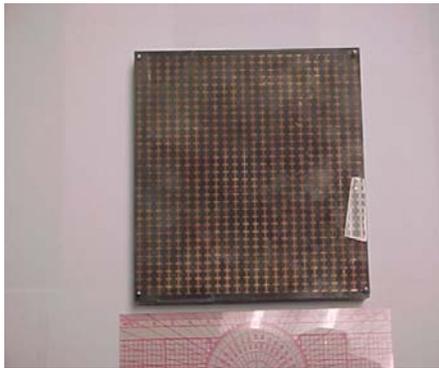
Compact metamaterials having negative index of refraction have been designed, fabricated and tested experimentally

- All structures constructed with Rogers Corporation 5880 Duroid ($\epsilon_r = 2.2$, $\mu_r = 1.0$, $\tan d = 0.0009$) 31 mil (100 mil = 2.54 mm) thick, 125 mil polyethylene spacers
- S-parameters measured with a free space measurement system at X-band frequencies
- Experimental results confirm the realization of DNG MTMs that are matched to free space
- Very good agreement between numerical and experimental results

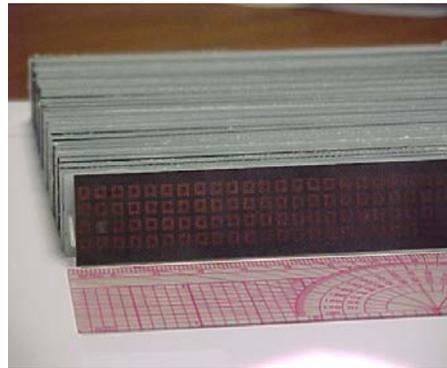


The CLS- and SRR-based MTMs were measured separately

Dipoles Only



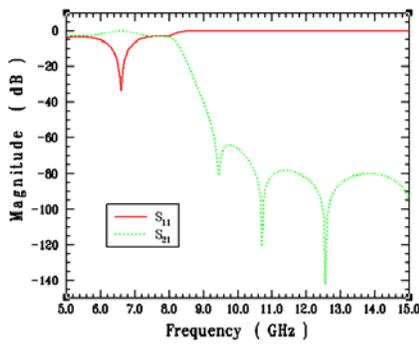
Split rings only



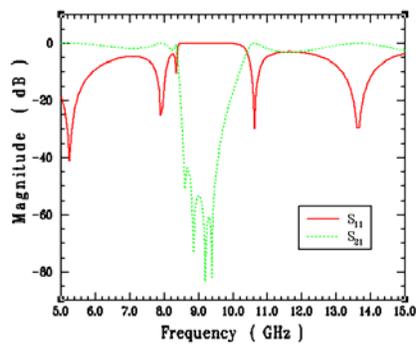
MTM block covers the aperture of an X-band rectangular horn



HFSS was used to design each MTM structure



CLS-only MTM



SRR-only MTM

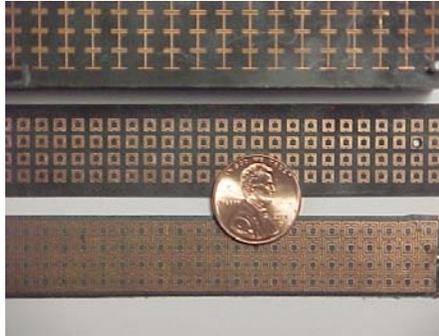




Measurements confirmed the HFSS simulation results showing the DNG medium effects for the planar structure

Dipoles, split rings,
& composite planar structure

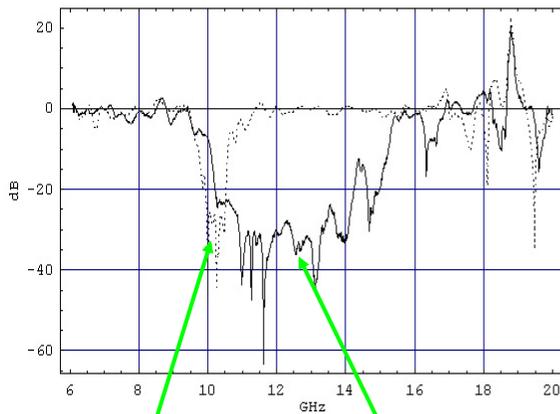
Free space
measurement system



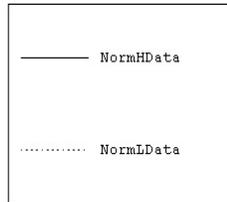
MTM block X-band horn



The S_{21} experimental data shows the predicted reflection bands for the electric and magnetic dipole metamaterials



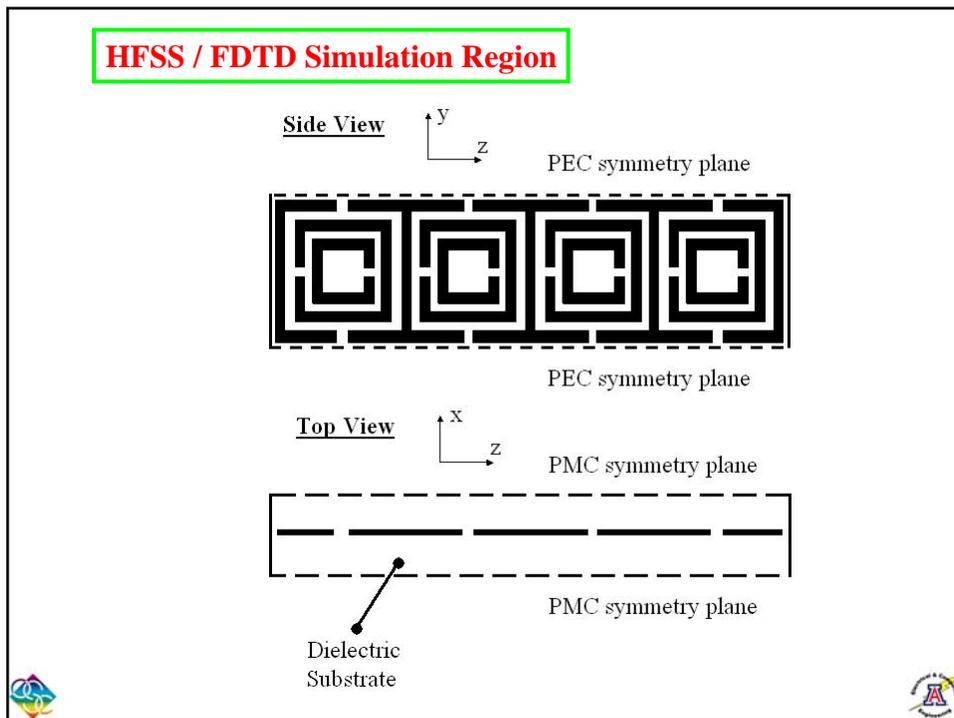
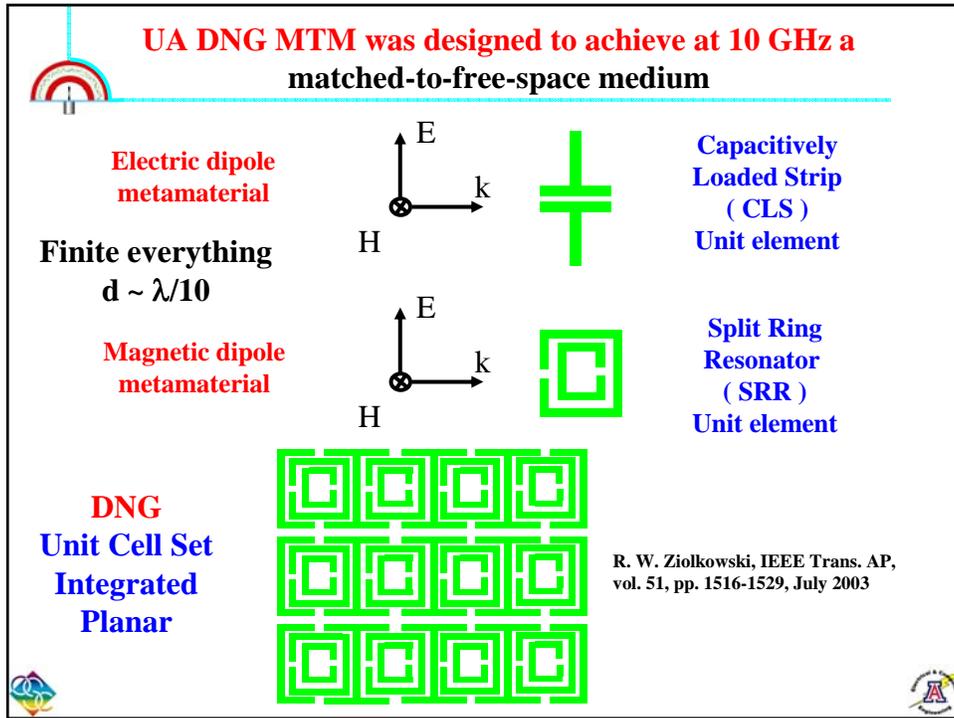
This is the measured data with the Thru data divided out.



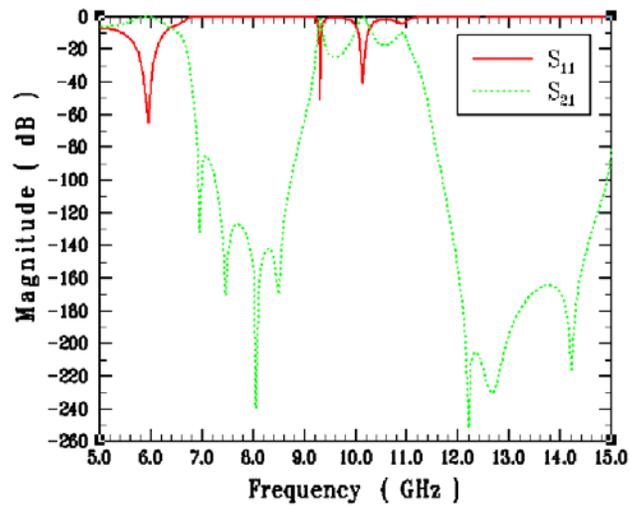
MNG
band

ENG
band





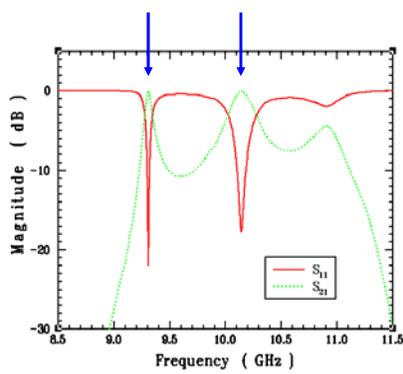
The composite planar MTM S-parameters were calculated with Ansoft's HFSS



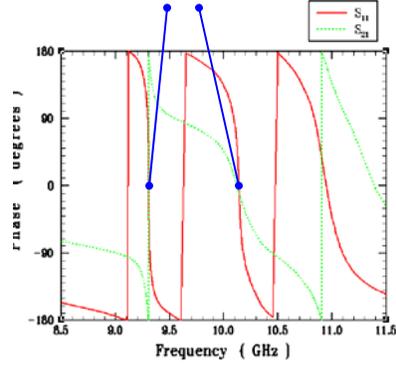
The composite planar MTM S-parameters were calculated with Ansoft's HFSS



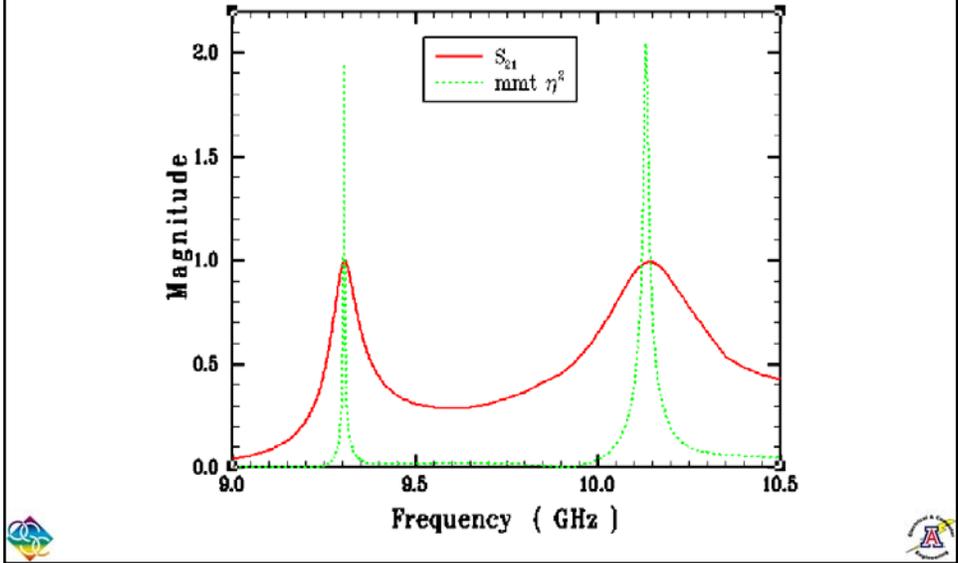
Matched frequencies



Matched frequencies

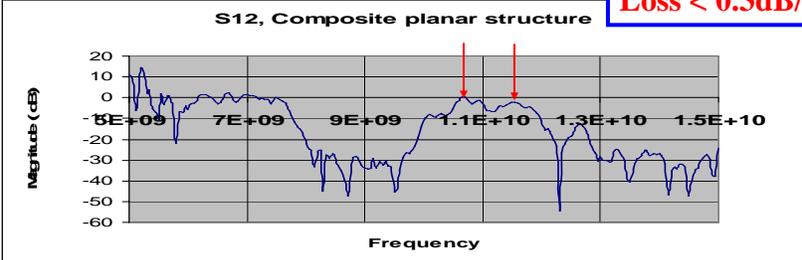


The composite planar MTM exhibits matching to free space in two frequency regions (and nearly three)



The S_{21} experimental data shows the predicted transmission bands for the matched DNG medium

Loss < 0.3dB/cm





What is more fundamental: ϵ , μ or n , η ????

$$k = \omega \sqrt{\epsilon} \sqrt{\mu} = \frac{\omega}{c} n$$

$$\eta = \frac{\sqrt{\mu}}{\sqrt{\epsilon}}$$

$$n = \sqrt{\frac{\epsilon}{\epsilon_0}} \sqrt{\frac{\mu}{\mu_0}}$$

$$n_{DNG} = \sqrt{\epsilon_r} \sqrt{\mu_r} = \sqrt{-|\epsilon_r|} \sqrt{-|\mu_r|} = (-j\sqrt{|\epsilon_r|}) (-j\sqrt{|\mu_r|})$$

$$= -\sqrt{|\epsilon_r|} \sqrt{|\mu_r|}$$

Index, wave impedance are derived quantities

Can you get negative refraction without DNG media?

Yes – with EBG/PBG media



Effective permittivity and permeability parameters are commonly extracted from S-parameter (calculated/measured) values using the Nicolson, Ross, and Weir approach

$$V_1 = S_{21} + S_{11}$$

$$V_2 = S_{21} - S_{11}$$

$$X = \frac{1 + V_1 V_2}{V_1 + V_2}$$

$$Y = \frac{1 - V_1 V_2}{V_1 - V_2}$$

$$Z = \exp(ikd) = X \pm \sqrt{X^2 - 1}$$

$$\Gamma = Y \pm \sqrt{Y^2 - 1}$$

$$k_0 = \frac{\omega}{c}$$

$$\sqrt{\epsilon_r \mu_r} = \frac{\ln(Z)}{jk_0 d}$$

$$\sqrt{\frac{\mu_r}{\epsilon_r}} = \frac{1 + \Gamma}{1 - \Gamma}$$

$$\mu_r = \frac{1 + \Gamma}{1 - \Gamma} \frac{\ln(Z)}{jk_0 d}$$

$$\epsilon_r = \frac{1 - \Gamma}{1 + \Gamma} \frac{\ln(Z)}{jk_0 d}$$



The effective permittivity and permeability parameters were extracted from the S-parameter values using a modified version of the Nicolson, Ross, and Weir approach

$$\exp(ikd) = \frac{V_1 - \Gamma}{1 - \Gamma V_1}$$

$$\Gamma = \frac{\exp(ikd) - V_2}{1 - V_2 \exp(ikd)}$$

$$1 - \exp(ikd) = \frac{(1 - V_1)(1 + \Gamma)}{1 - \Gamma V_1}$$

$$\sqrt{\frac{\mu_r}{\epsilon_r}} = \frac{1 - \exp(ikd)}{1 + \exp(ikd)} \frac{1 - V_2}{1 + V_2}$$

$$\sqrt{\epsilon_r \mu_r} \approx \frac{1}{jk_0 d} \frac{(1 - V_1)(1 + \Gamma)}{1 - \Gamma V_1}$$

$$\mu_r \approx \frac{2}{jk_0 d} \frac{1 - V_2}{1 + V_2}$$

$$\epsilon_r \approx \left(\frac{k}{k_0}\right)^2 \frac{1}{\mu_r}$$

$$n = \sqrt{\epsilon_r} \sqrt{\mu_r}$$

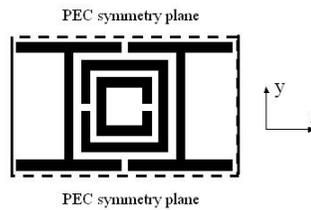
$$\epsilon_r \approx \mu_r - \frac{2}{jk_0 d} S_{11}$$

“Enhanced Result”
when
 $S_{11} \sim 0$

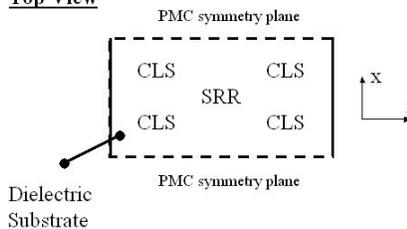


A simplified MTM was designed to isolate the electric and magnetic elements and the corresponding effects

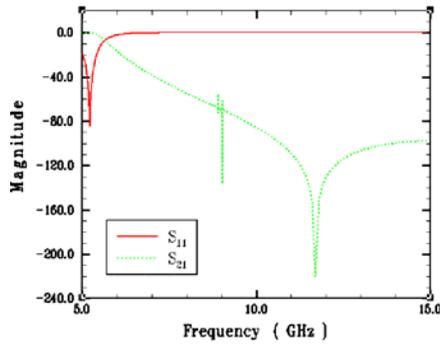
Side View



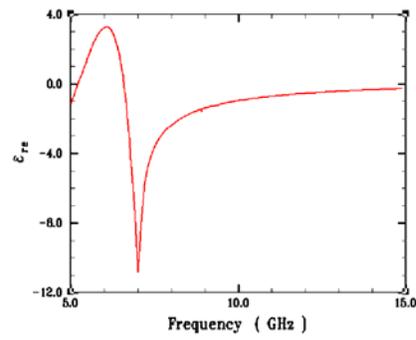
Top View



CLS-only MTM

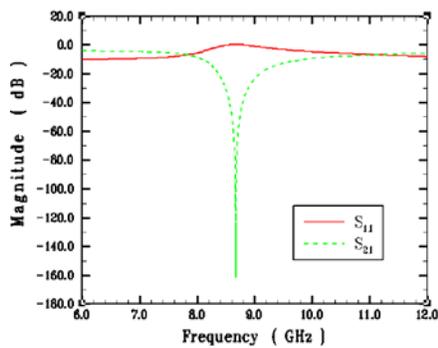


S-parameters, Ansoft's HFSS

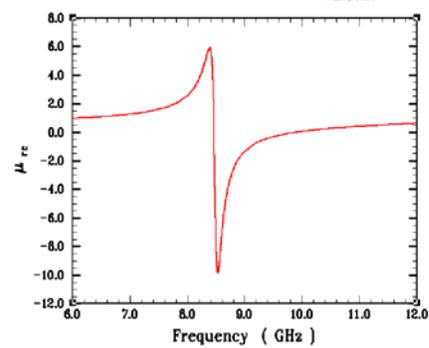


Extracted effective permittivity

SRR-only MTM

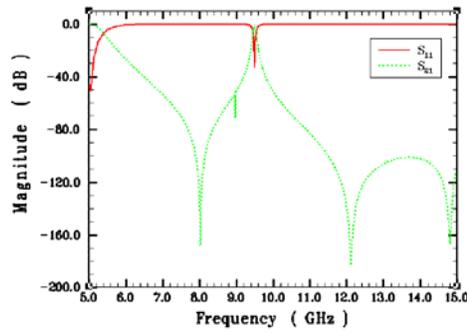


S-parameters, Ansoft's HFSS

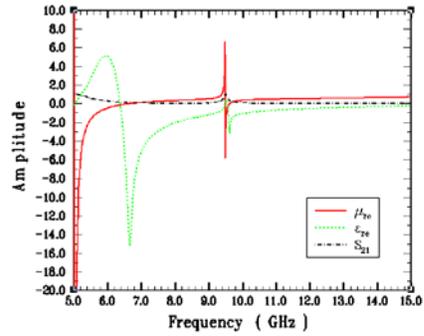


Extracted effective permeability

Composite MTM structure

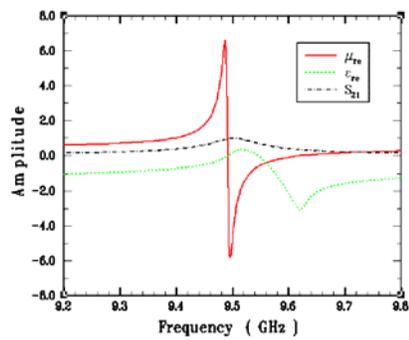


S-parameters, Ansoft's HFSS

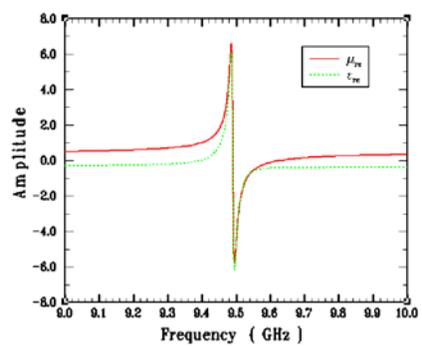


Extracted effective permittivity and permeability

The effective permittivity extraction can be improved near the frequency points matched to free space

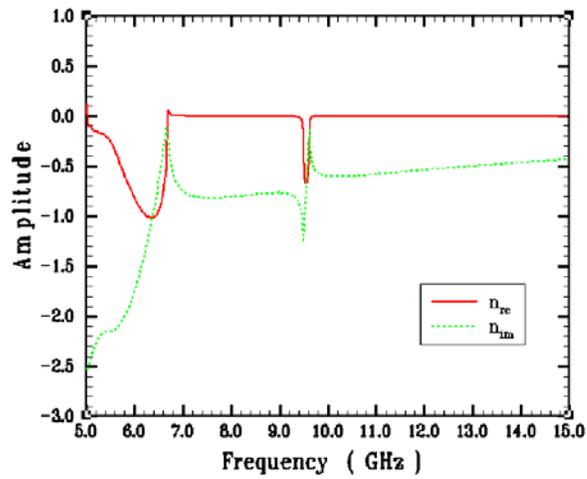


Without enhancements

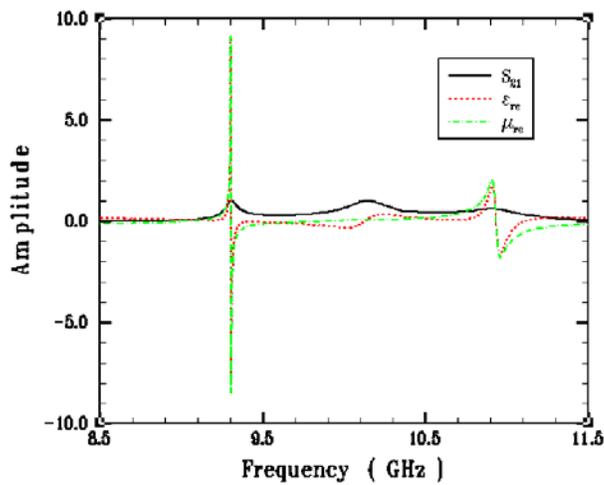


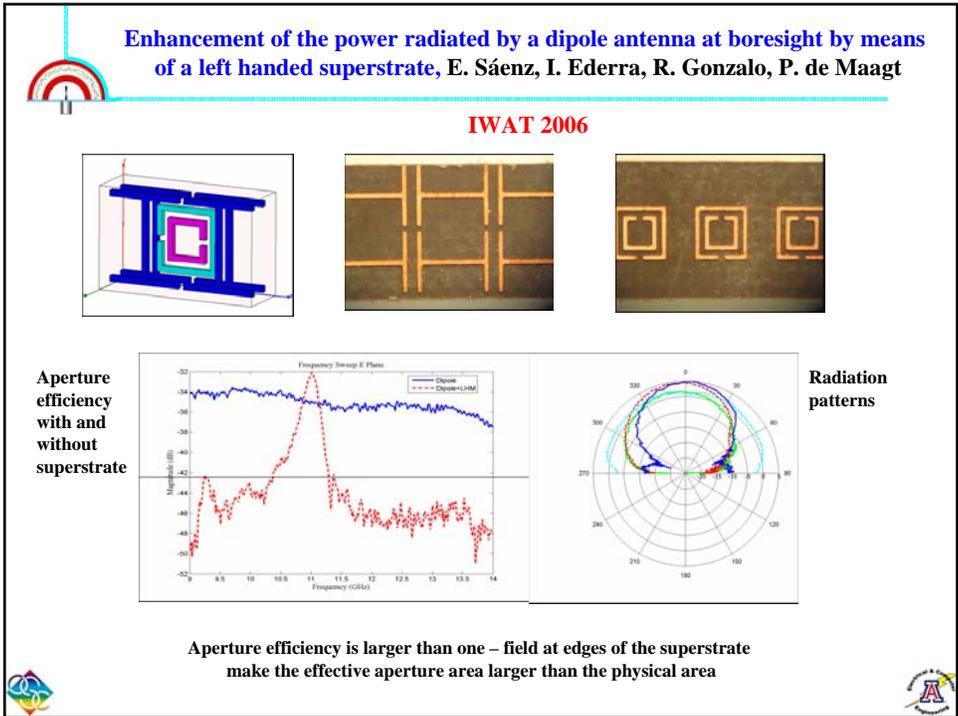
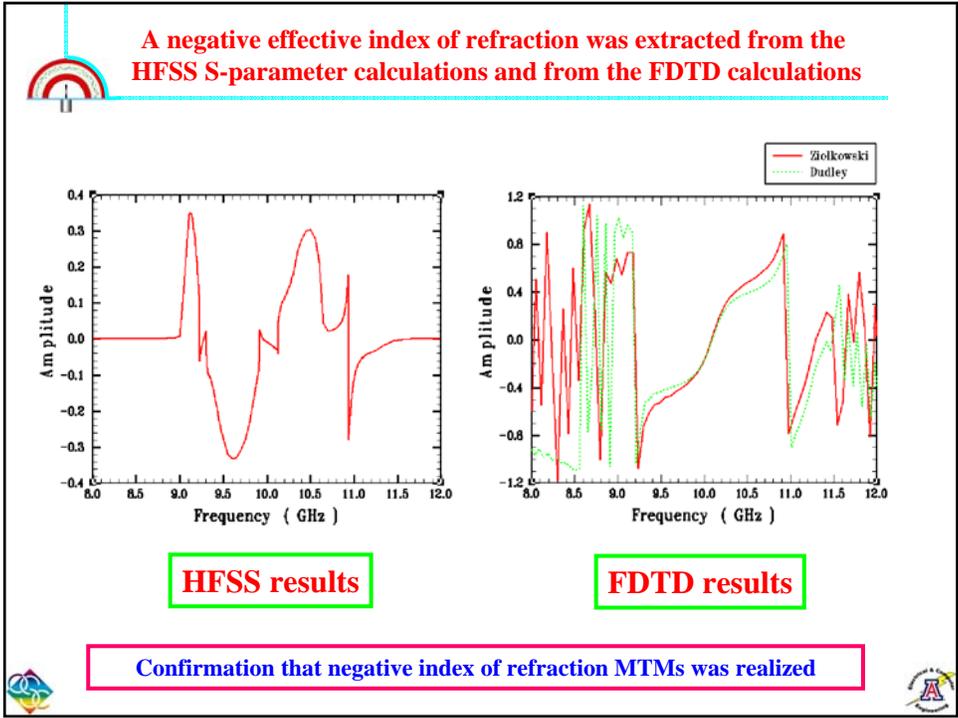
With enhancements

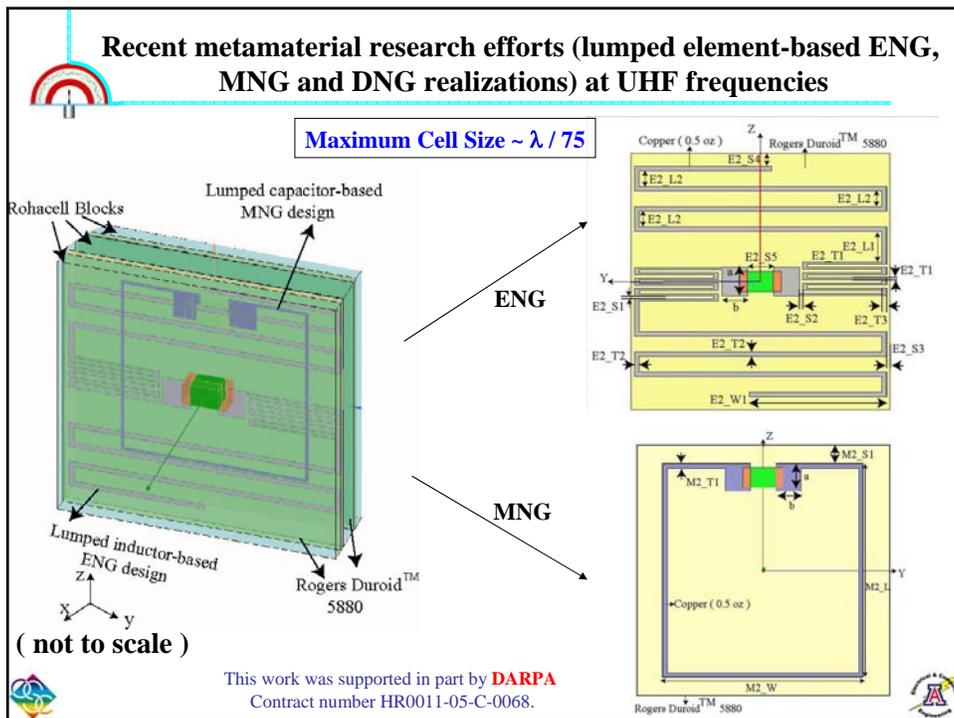
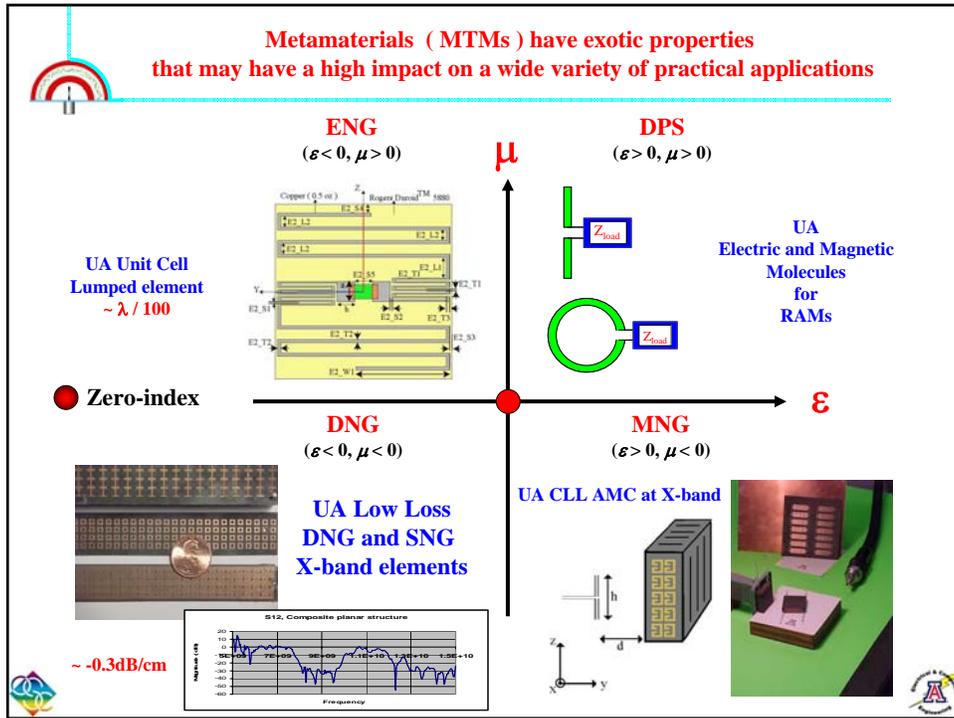
The effective index of refraction of the simplified MTM is negative in the frequency region where matching occurs



The effective permittivity and permeability of the composite planar MTM were extracted from the HFSS S-parameter calculations



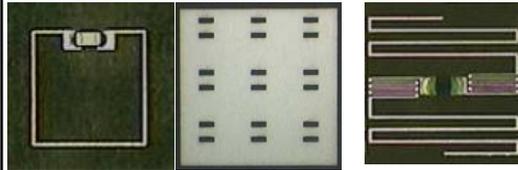






Lumped-element based unit cells have achieved the smallest, lowest frequency ENG, MNG, DNG (NIM) materials to date

$n_{real} = -3.11$ with a loss of 0.91 dB/cm at 400 MHz for 10mm, $\lambda / 75$ unit cell

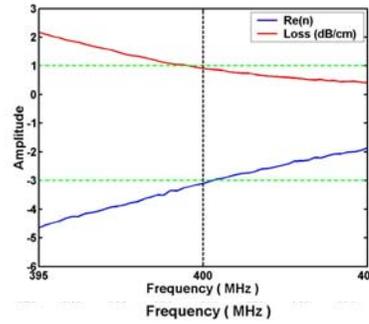


MNG portion Rohacell™ spacer ENG portion



Complete NIM slab (~ 900 Unit Cells)

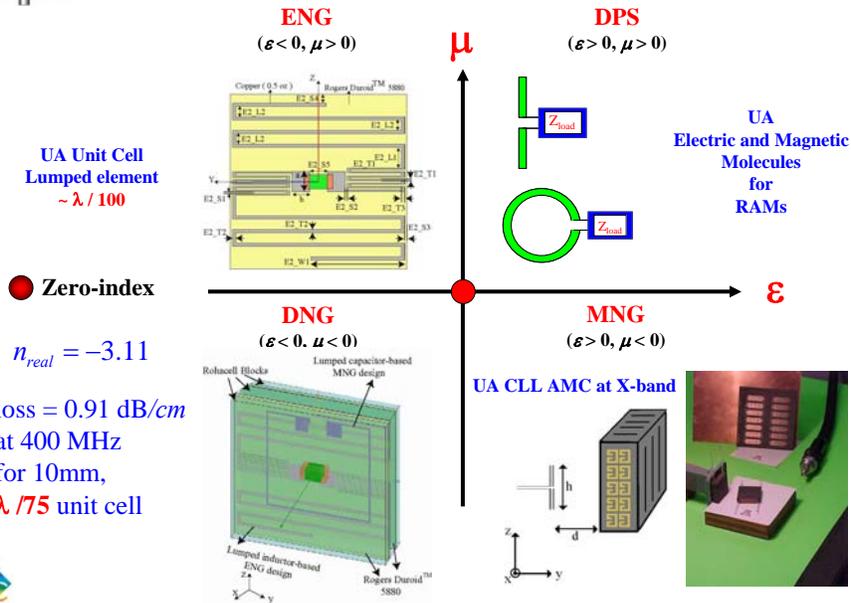
(not to scale)

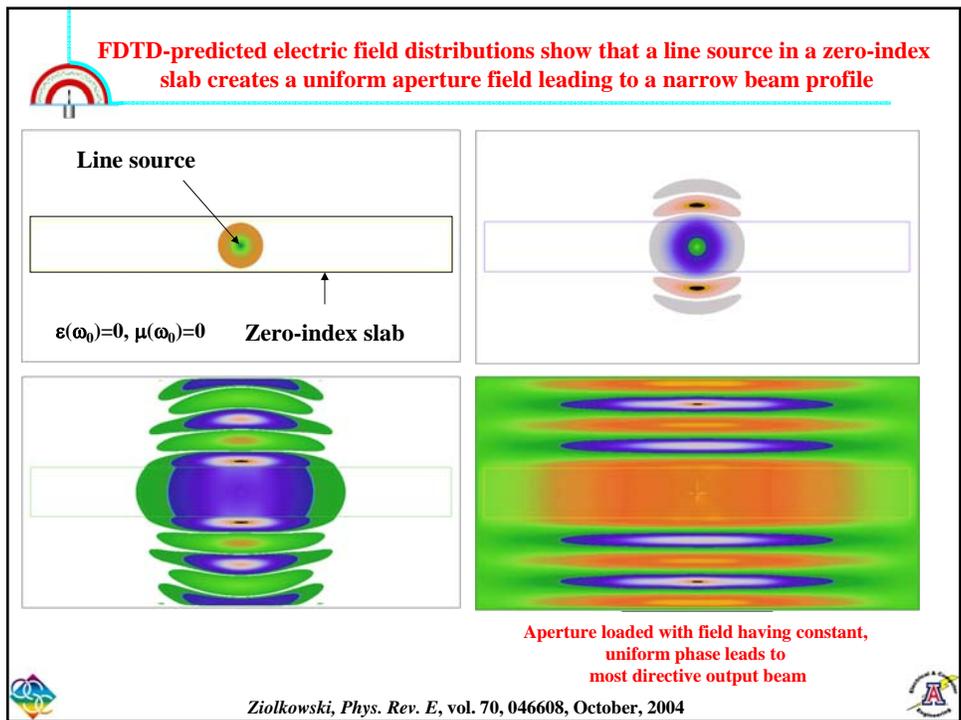
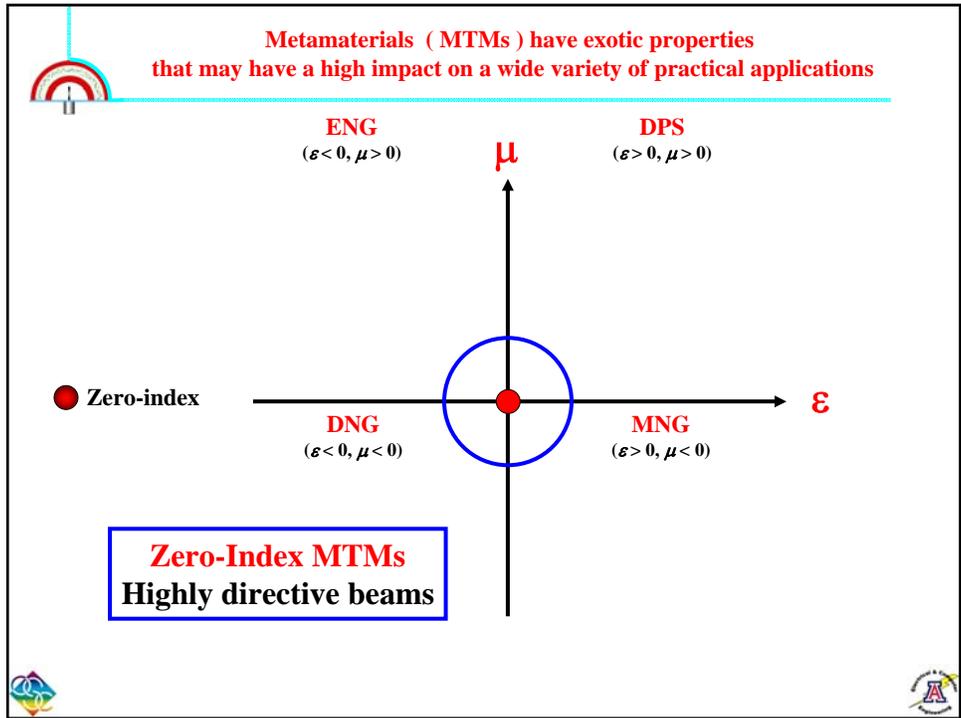


This work was supported in part by DARPA
Contract number HR0011-05-C-0068.



Metamaterials (MTMs) have exotic properties that may have a high impact on a wide variety of practical applications





Dr. Steve Franson
PhD Dissertation, November 2007
Motorola MMW Division

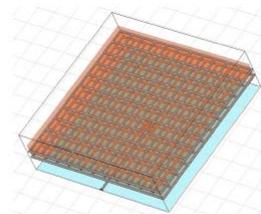
Manuscripts in review

**Highly directive antennas
desired for
1km WLAN systems at 60GHz**

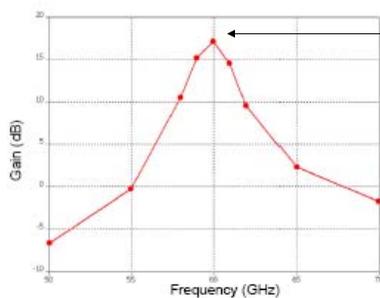


Zero-n superstrate leads to enhanced antenna gain

**Patch antenna driven
3 layer
grid superstrate
structure**

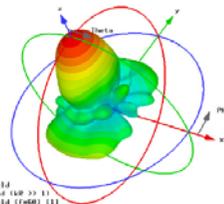


(a) Structure



17.2dB

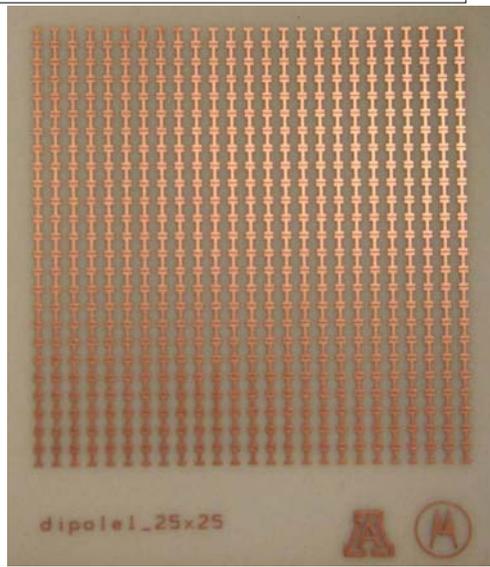
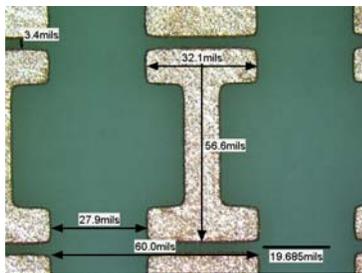
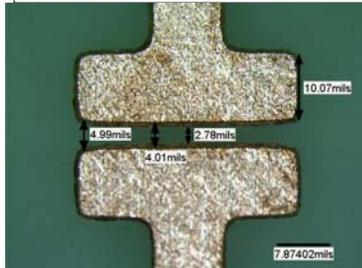
Bare Patch ~ 3.2dB



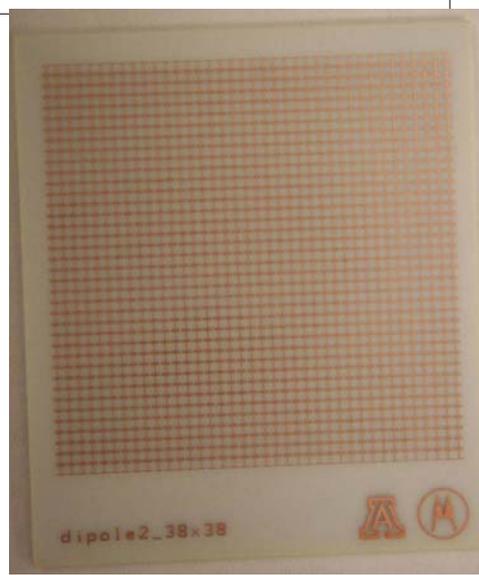
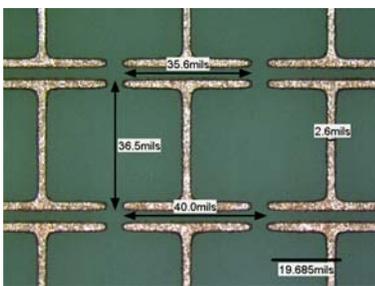
Type = Farfield
Representation = minkind (r >> 1)
PlotVar = farfield (rad) (1)
Coordinate = dB
Display = Gain
Frequency = 60
Rad. effiec. = 0.9114
Tot. effiec. = 0.9204
Gain = 17.16 dB



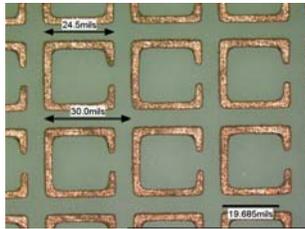
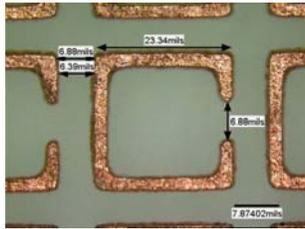
Fabricated 60 mil Period Dipole



Fabricated 40 mil Period Dipole

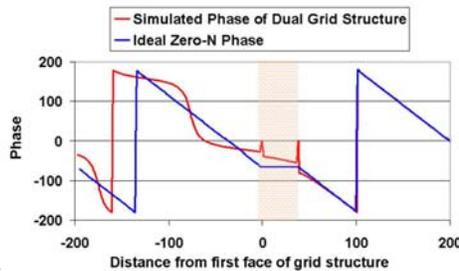
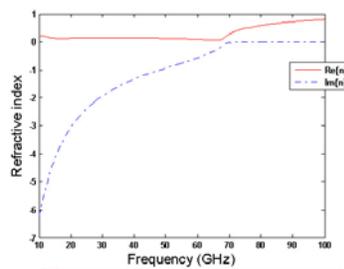


Fabricated 30 mil Period Ring



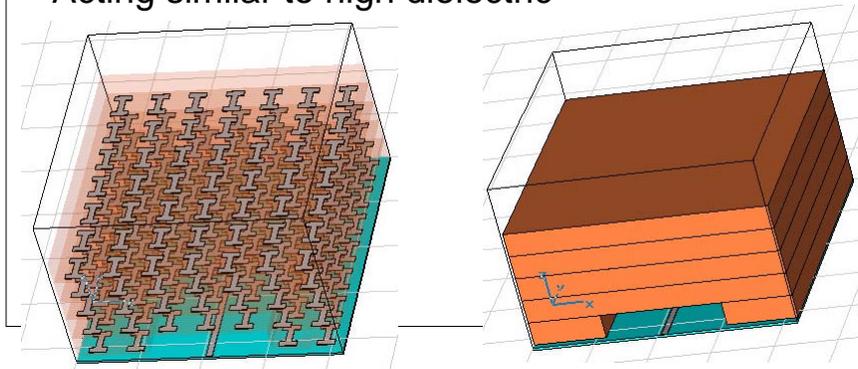
Does the grid have Zero N?

- Matlab code verified a zero index of refraction for a 2 layer infinite grid
- The simulated phase of the transmitted wave was consistent with Zero N



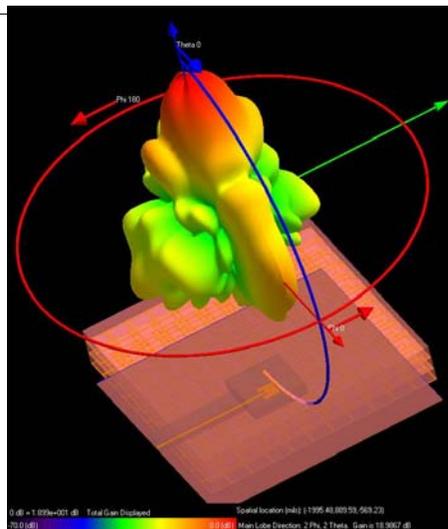
Dipole Superstrate

- Gain = 13.7dBi
- Operating well below resonance
- Acting similar to high dielectric



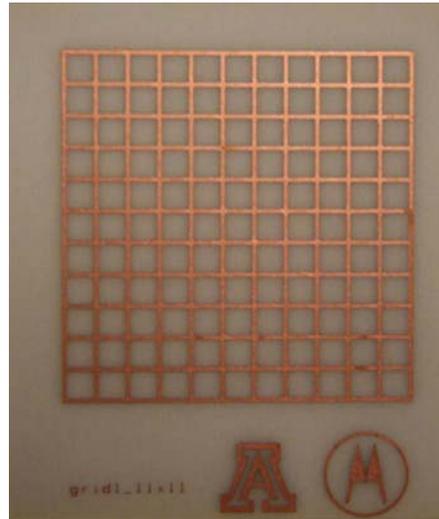
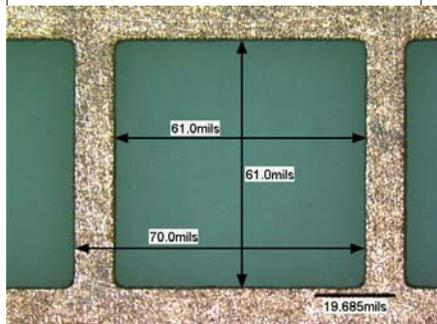
Grid Superstrate

- Nearly 19dBi of simulated Gain
- First Simulated in CST to find best configuration
- Simulated and tuned in XFDTD for high accuracy



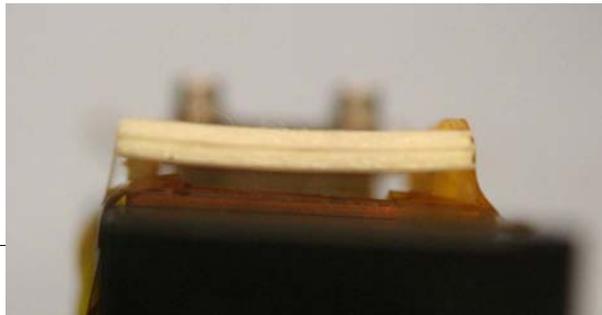
Fabricated Grid

- Dimensions match well with designs



Curvature

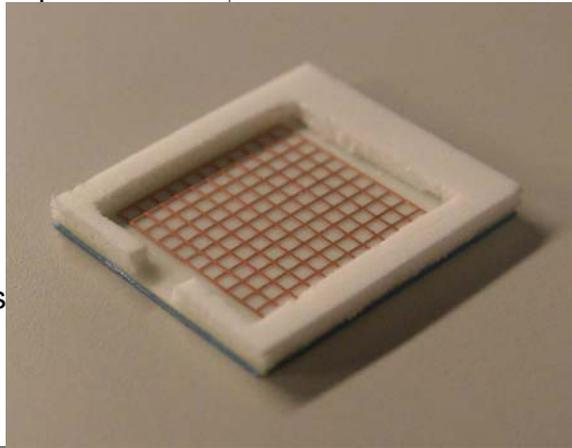
- The material is very flexible
- When adhesive backing is removed, significant curvature occurs
- Tape is used to “tune” spacing and curvature



Antenna Construction

- 68 mil period design used a 70 mil thick rigid foam spacer (shown)

- 70 mil period design used 2 layers of flexible foam (not shown)



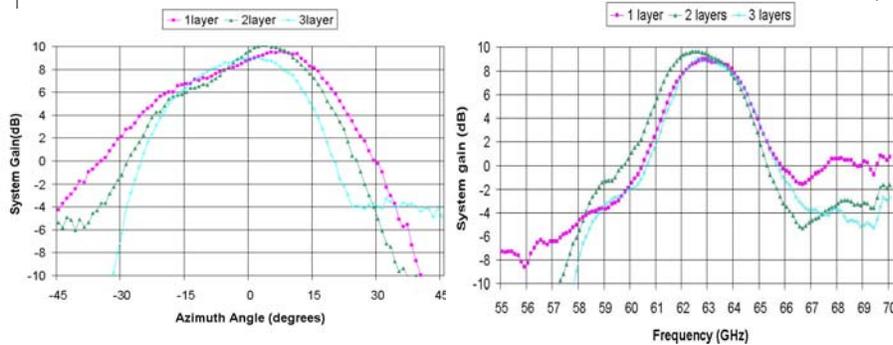
Measured Results Information

- All measured results are the system gain of the entire antenna structure, including the feed transmission line
- Tuning was only possible for system gain
- Unlike other antennas, the best system gain frequency was not typically the same as the best gain frequency
- All results are “tuned” for best performance. Tape affects spacing, thickness, and curvature



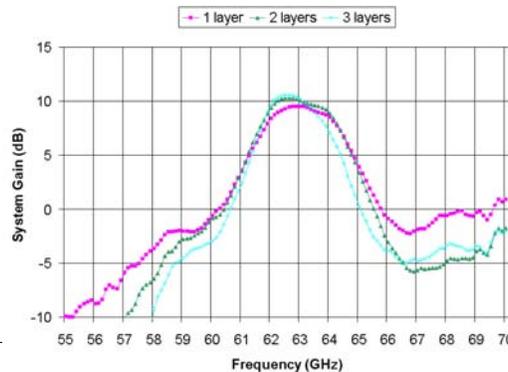
70 mil Measured Results

- 1 layer worked well, but as the layers increased, the expected gain enhancement was not present (max=10dBi)



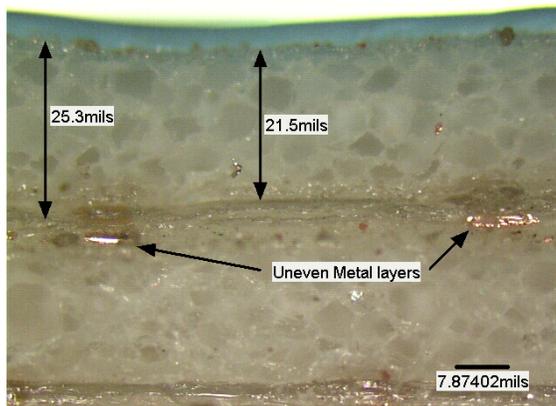
68 mil measured results

- Similar Result for 68 mil Structure
- Best System gain = 11.09 dBi



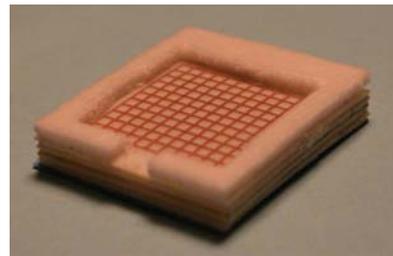
Thickness Variation

- Thickness was less than expected
- Thickness variation was greater than expected
- Grids became non-planar
- Multi-layer structures don't perform well, when all layers are not the same thickness



4 layer Thickness Measurement

- 1st 3 layers averaged 38 mils, 4th layer measured 35 mils
- All layers had at least 3 mil variations at edge
- This does not even include the curvature effect

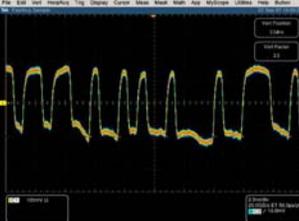


60GHz WLAN Experiments

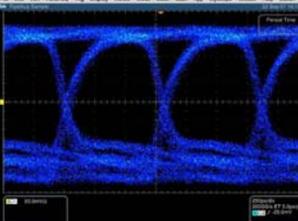


Calibrated Horn

1.25Gb/s – Pattern Triggered



1.25 Gb/s – Eye Diagram





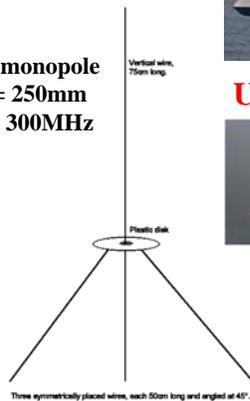
Actual 1.25 Gigabit/s Ethernet data was transmitted wirelessly between two computers using this high gain antenna structure

System gain = 11.09 dBi

Why the interest in electrically small antennas (ESAs)?

- Wireless technologies have become ubiquitous
- ESAs are one of their enabling technologies

$\lambda/4$ monopole
L = 250mm
for 300MHz



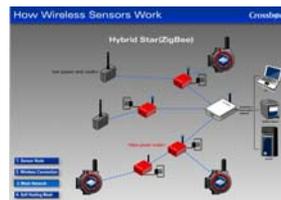
Typical antenna



UAVs



Embedded RFID tags



Wireless sensor networks

FOMs:
Efficient
High BW
Multi-freq
Low cost
Light weight
Easy fab

Efficient Electrically Small Antennas

Electrically small electric dipole = highly capacitive element

$X_{ind} = X_{ant}^* \Rightarrow X_{total} = 0$

Input impedance matched to the source $\Rightarrow \Gamma = 0$
Max Accepted Power

Desire: Source \rightarrow MTM “thing-a-ma-jigger”

- No matching circuit
- Efficient ESA

Center-fed dipole-ENG shell example: Using a highly subwavelength resonator to achieve a metamaterial-based *efficient* electrically-small antenna (ESA)

radius $\sim \lambda/52$
 $ka \sim 0.12$
 OE $\sim 100\%$

R. W. Ziolkowski and A. Erentok,
 IEEE Trans. Antennas Propagat., vol. 54,
 pp. 2113-2130, July 2006

DPS Region

Capacitive element

ENG Shell

Inductive element

=

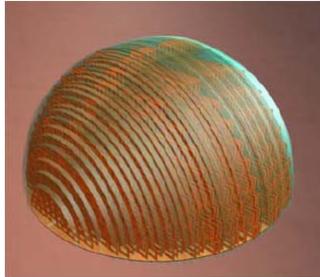
LC resonator

E-plane and H-plane patterns

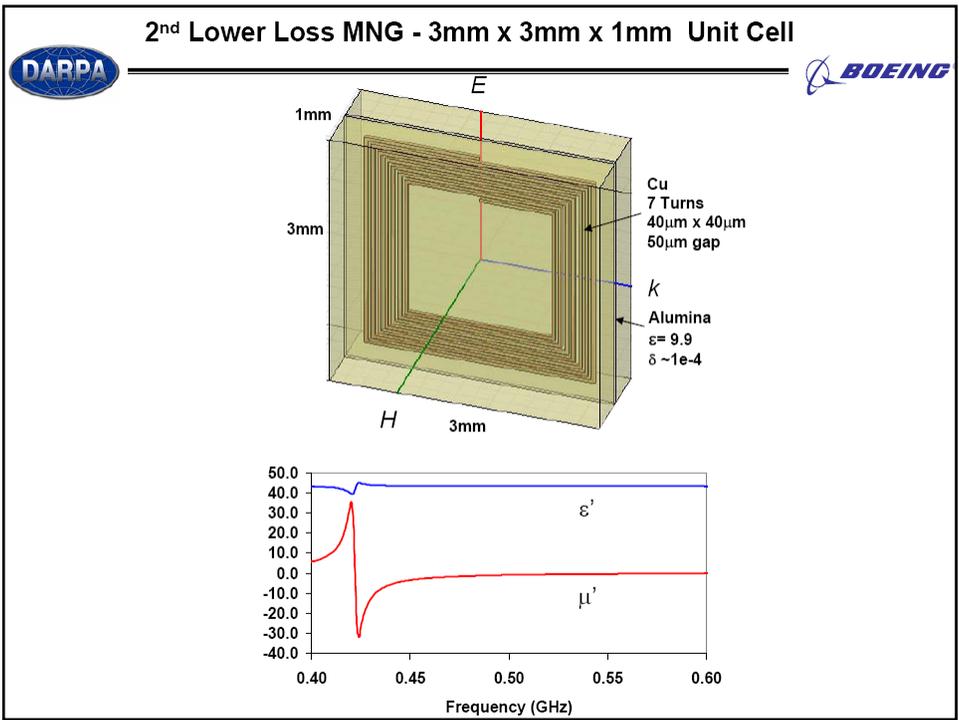


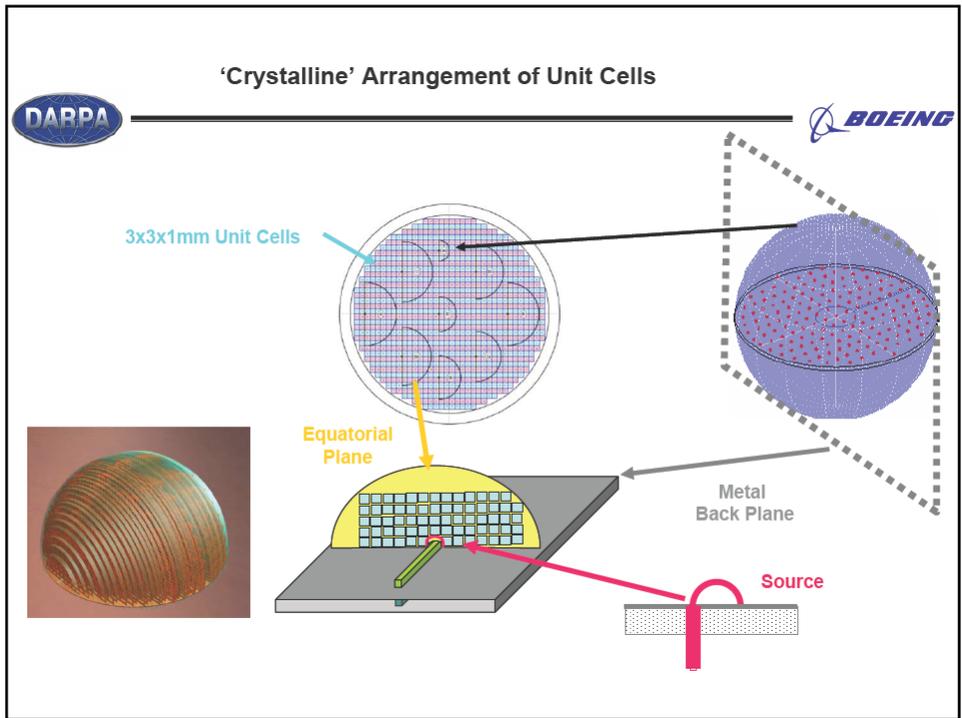
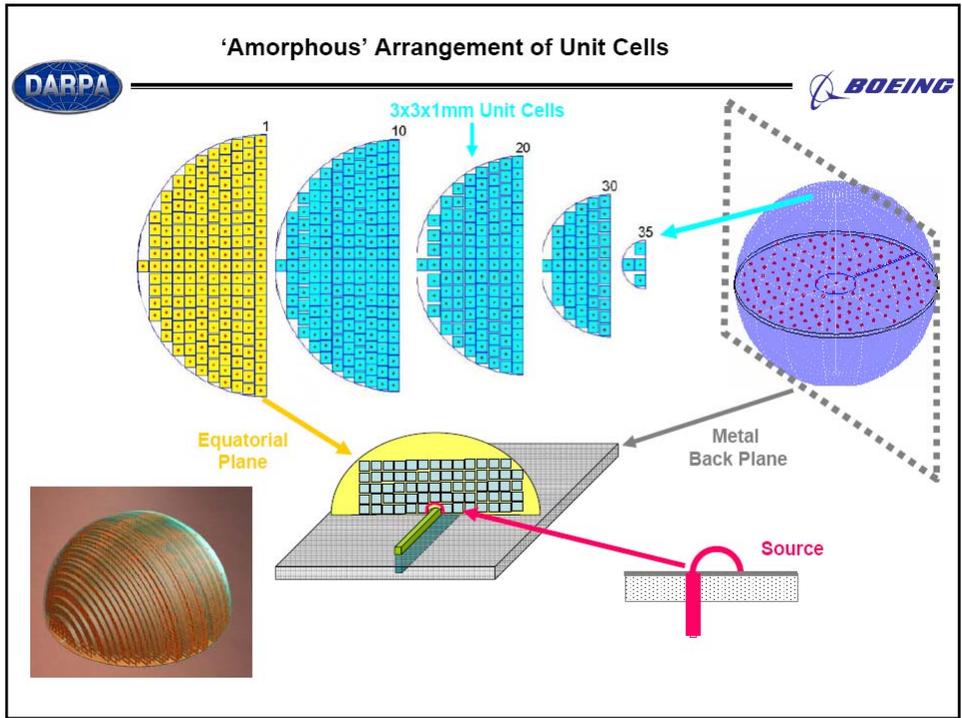
DARPA Sponsored, Boeing Phantom Works led project
PI: Minas Tanielian

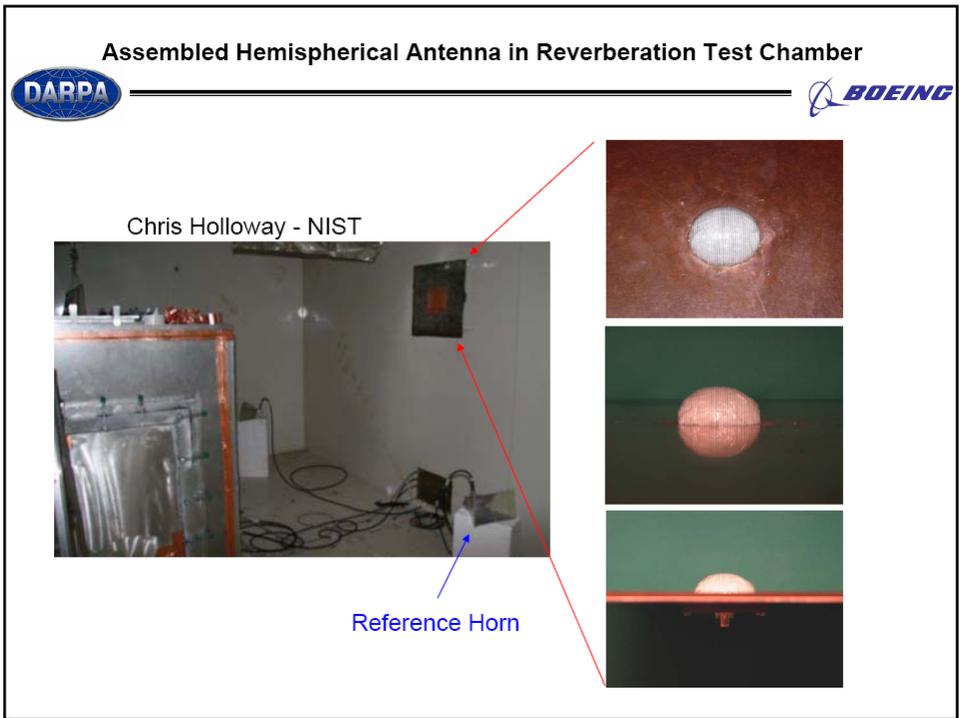
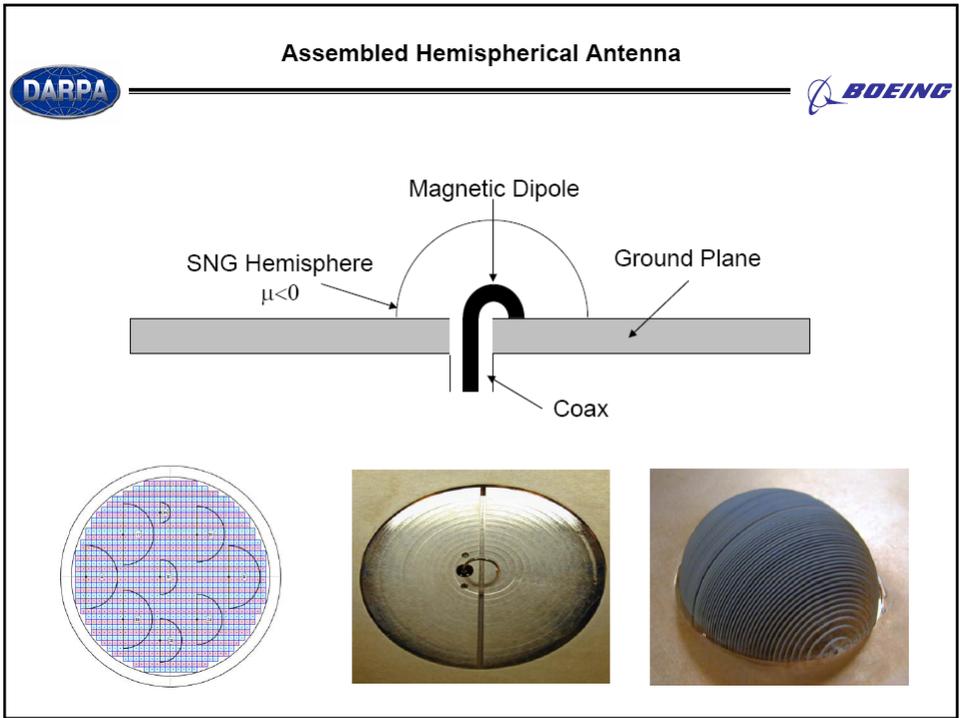
Electrically Small Hemispherical Antenna

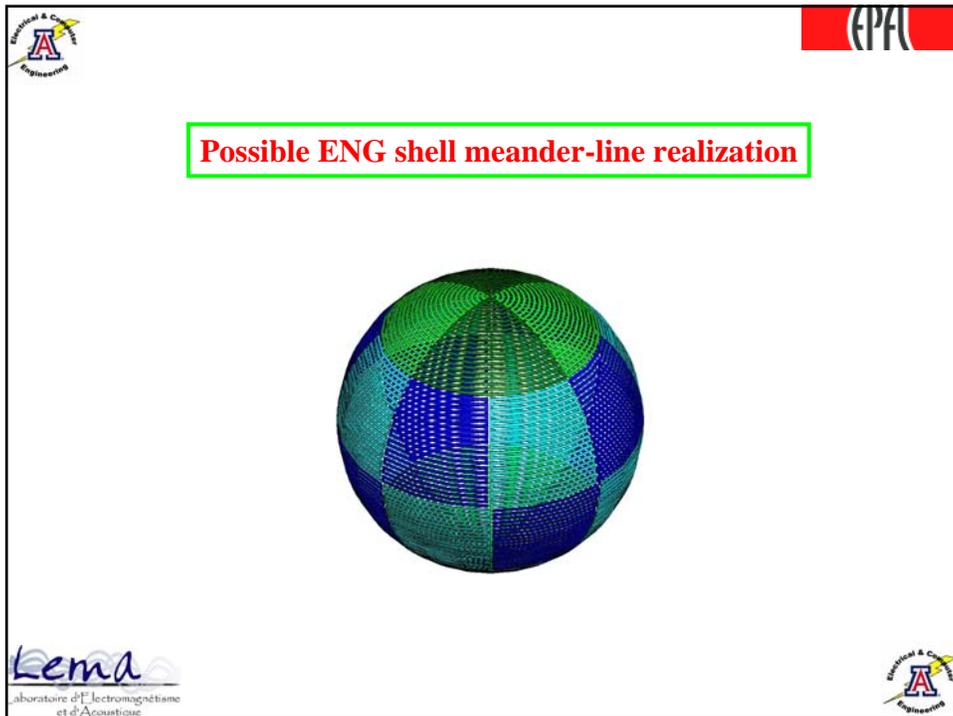
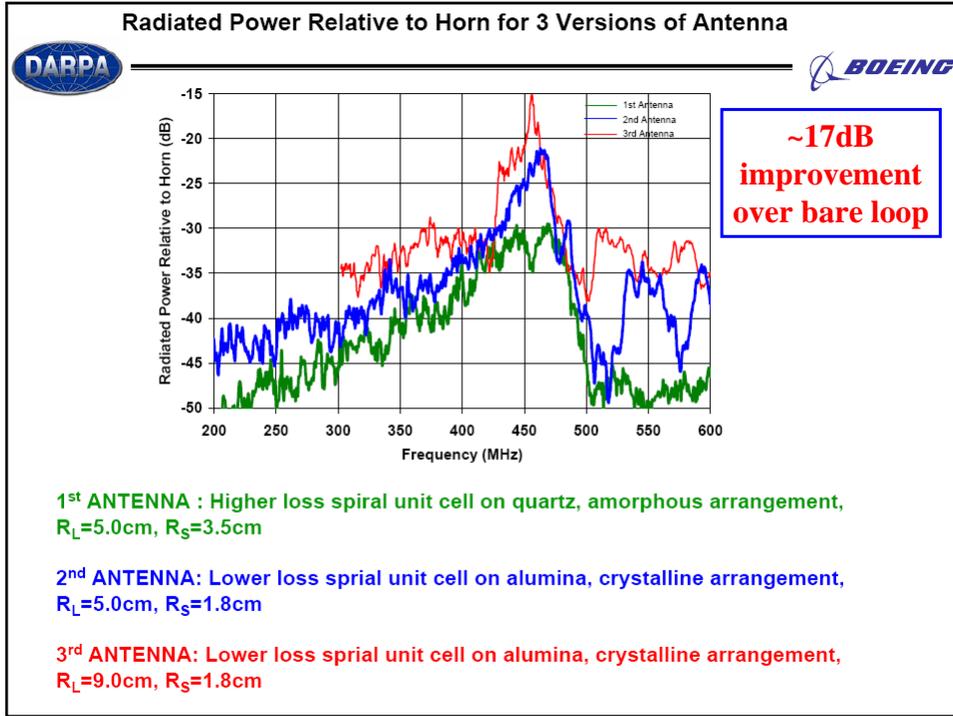


Many thanks to **Bob Gregor** for his program review slides











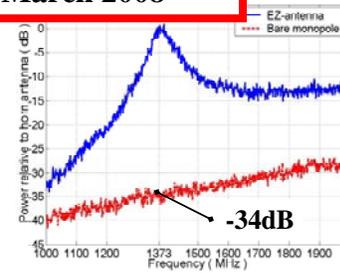
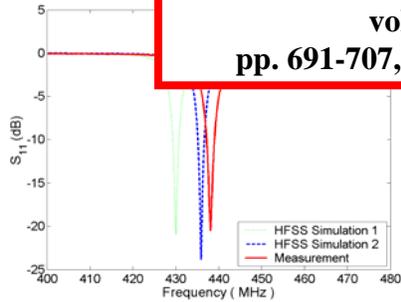
We have successfully developed several *metamaterial-inspired* efficient electrically-small antennas

EZ Magnetic

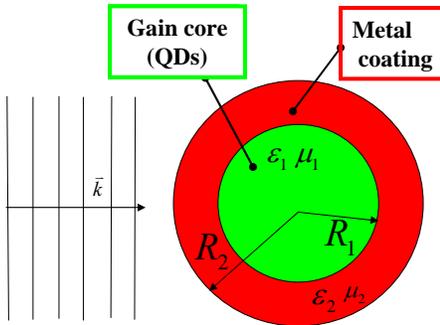
EZ Electric



A. Erentok and R. W. Ziolkowski
IEEE Trans. Antennas Propagat.
vol. 56
pp. 691-707, March 2008



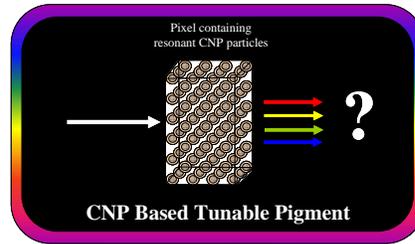
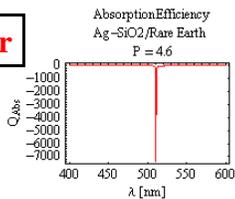
Optical Metamaterials and Applications Based on Resonant Coated Nano-Particles (CNPs)



Excited by 500nm visible light
 10nm, 30nm radius CNPs

CNP Laser

Gain overcomes
 MTM Losses

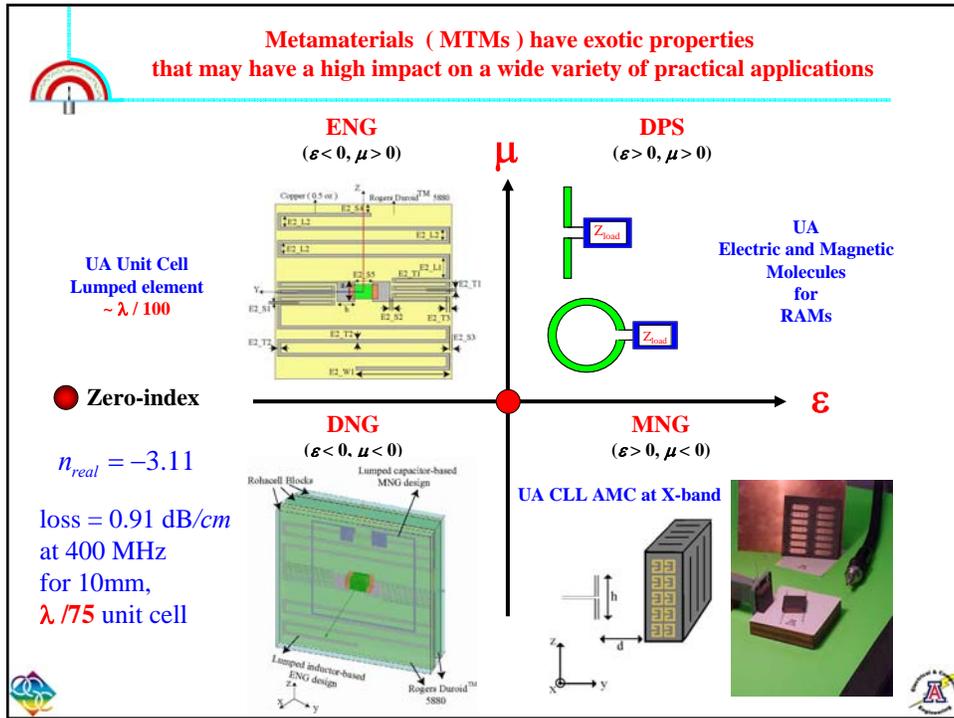


Three CNP layers generates the entire NTSC and RGB Gamuts

CNP Laser-based
Localized Nano-Sensors:
Bio, Chem -markers
Active Optical Metamaterials:
Overcome loss issues

J. A. Gordon and R. W. Ziolkowski
Opt. Exp., Vol. 15, Issue 5, pp. 2622-2653, March 2007





Thank you for listening 😊

Any questions ??